

Composition of Password-based Protocols *

Stéphanie Delaune
LSV, ENS Cachan & CNRS & INRIA
France

Steve Kremer
LSV, ENS Cachan & CNRS & INRIA
France

Mark Ryan
School of Computer Science
Birmingham University, UK

Abstract

We investigate the composition of protocols that share a common secret. This situation arises when users employ the same password on different services. More precisely we study whether resistance against guessing attacks composes when the same password is used. We model guessing attacks using a common definition based on static equivalence in a cryptographic process calculus close to the applied pi calculus. We show that resistance against guessing attacks composes in the presence of a passive attacker. However, composition does not preserve resistance against guessing attacks for an active attacker. We therefore propose a simple syntactic criterion under which we show this composition to hold. Finally, we present a protocol transformation that ensures this syntactic criterion and preserves resistance against guessing attacks.

1 Introduction

Security protocols are small programs that aim at securing communications over a public network like the Internet. Considering their increasing ubiquity a high level of assurance is needed in the correctness of such protocols. Developments in formal methods have produced considerable success in analysing security protocols. Automated tools such as Avispa [5] and ProVerif [10] are now capable of analysing large protocols involving several or even an unbounded num-

ber of sessions. However, these analyses usually consider that the protocol is executed in isolation, ignoring other protocols that may be executed in parallel. The assumption that another parallel protocol cannot interfere with the protocol under investigation is valid if the two protocols do not share any secret data (such as cryptographic keys or passwords). But if such data is shared between protocols, then this assumption is not valid.

While the absence of shared keys between different protocols is obviously desirable, it is not always possible or realistic. For example, *password-based protocols* are those in which a user picks a password which forms one of the secrets used in the protocol. It is unrealistic to assume that users never share the same passwords between different applications. In this paper, we consider the situation in which secret data may be shared between protocols, and we particularly focus on password-based protocols. We investigate under what conditions we can guarantee that such protocols will not interfere with each other. Under certain conditions, we may have that

if P_1 and P_2 are secure then $P_1 \mid P_2$ is secure.

For example, in the context of cryptographic pi calculi (e.g. spi calculus [3], applied pi calculus [2]), “is secure” is often formalised as observational equivalence to some specification. We have that $P_1 \approx S_1$ and $P_2 \approx S_2$ imply $P_1 \mid P_2 \approx S_1 \mid S_2$, where S_1 and S_2 are specifications, and therefore the security of the composition follows from the security of each protocol. Here, the composition of security relies on two facts. First, as mentioned, security means observational equivalence to a specification; the attacker is an *arbitrary context*, and $P_i \approx S_i$ means P_i and S_i are equivalent in any environment. Second, by forming the composition $P_1 \mid P_2$ we have made the assumption that P_1 and P_2 do not share any secret.

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Now suppose that P_1 and P_2 do share a secret w . To prove that their security composes, one would like to show that

if $\nu w.P_1$ and $\nu w.P_2$ are secure
then $\nu w.(P_1 \mid P_2)$ is secure.

Note in particular that $\nu w.(P_1 \mid P_2)$ is different from $(\nu w.P_1) \mid (\nu w.P_2)$ because the later refers to two different secrets as they have different scope. In contrast with the previously mentioned composition result, this one does not hold in general.

Additionally, the notion of security we consider in this paper is *resistance to guessing attacks*, which is not expressible as observational equivalence to some specification. Guessing attacks are a kind of dictionary attack in which the password is supposed to be weak, i.e. part of a dictionary for which a brute force attack is feasible. A guessing attack works in two phases. In a first phase the attacker eavesdrops or interacts with one or several protocol sessions. In a second *offline* phase, the attacker tries each of the possible passwords on the data collected during the first phase. To resist against a guessing attack, the protocol must be designed such that the attacker cannot discover on the basis of the data collected whether his current guess of the password is the actual password or not. If the attacker's interaction with the protocol during the first phase is limited to eavesdropping, then the attack is called *passive*; if the attacker can participate fully with the protocol, then it is *active*.

Several attempts have been made, based on the initial work of Lowe [19], to characterize guessing attacks [12, 14, 17]. In [13], Corin *et al.* proposed an elegant definition of resistance to passive guessing attacks, based on static equivalence in the applied pi calculus. A similar definition has also been used by Baudet [7] who uses constraint solving techniques to decide resistance against guessing attacks for an active attacker and a bounded number of sessions. Recent versions of the ProVerif tool also aim at proving resistance against guessing attacks for an active attacker and an unbounded number of sessions (at the price of being incomplete and not guaranteeing termination) [11]. Moreover, Abadi *et al.* further increase the confidence in this definition by showing its computational soundness for a given equational theory in the case of a passive attacker [1].

In this paper, we study whether resistance against guessing attacks composes when the same password is used for different protocols. Protocols are modelled in a cryptographic process calculus inspired by the applied pi calculus. We use the definition introduced by Corin *et al.* (see [13]). This allows us to provide re-

sults for protocols involving a variety of cryptographic primitives represented by means of an arbitrary equational theory. First we show that in the case of a passive attacker, resistance against guessing attacks composes (Section 4). In the case of an active attacker we prove that as expected, resistance against guessing attacks does compose when no secrets are shared. However, resistance against active guessing attacks does not compose in general when the same password is shared between different protocols. Nevertheless, we present a simple syntactic criterion, which we call *well-tagged*, which ensures that security composes even when the same password is reused for different protocols (Section 5). To provide an effective design methodology we also propose a simple transformation to ensure that the protocol is well-tagged. We prove that this transformation preserves resistance against guessing attacks (Section 6).

Related work. The problem of secure composition has been approached by several authors. Datta *et al.* provide a general strategy [16] whereas our composition result identifies a specific class of protocols that can be composed. In [18, 15], some criteria are given to ensure that parallel composition is safe. Andova *et al.* provide conditions to allow a broader class of composition operations [4].

However, none of these works deal with composing resistance against guessing attacks. They consider secrecy in term of deducibility or authentication properties. To the best of our knowledge only Malladi *et al.* [20] have studied composition w.r.t. guessing attacks. They point out vulnerabilities that arise when the same password is used for different applications and develop a method to derive conditions that a protocol has to follow in order to be resistant against guessing attacks. However, applying their methods to particular protocols is not always straightforward. Moreover, their work relies on the definition of guessing attack due to Lowe [19] which relies on a particular set of cryptographic primitives. Our results are general and independent of the underlying equational theory.

2 Preliminaries

2.1 Messages

A protocol consists of some agents communicating on a network. The messages sent by the agents are formed from data that the agents hold, as well as cryptographic keys and messages that the agent has previously received. We assume an infinite set of *names* \mathcal{N} , for representing keys, data values, nonces, and names

of agents, and we assume a *signature* Σ , i.e. a finite set of *function symbols* such as `senc` and `sdec`, each with an arity. Messages are abstracted by *terms*, and cryptographic operations are represented by function symbols. Given a signature Σ and an infinite set of variables \mathcal{X} , we denote by $\mathcal{T}(\Sigma)$ (resp. $\mathcal{T}(\Sigma, \mathcal{X})$) the set of *terms* over $\Sigma \cup \mathcal{N}$ (resp. $\Sigma \cup \mathcal{N} \cup \mathcal{X}$). The former is called the set of *ground terms* over Σ , while the latter is simply called the set of terms over Σ . We write $fn(M)$ (resp. $fv(M)$) for the set of names (resp. variables) that occur in the term M . A *substitution* σ is a mapping from a finite subset of \mathcal{X} called its domain and written $\text{dom}(\sigma)$ to $\mathcal{T}(\Sigma, \mathcal{X})$. Substitutions are extended to endomorphisms of $\mathcal{T}(\Sigma, \mathcal{X})$ as usual. We use a postfix notation for their application. Similarly, we allow replacement of names: the term $M\{N/n\}$ is the term obtained from M after replacing any occurrence of the name n by the term N .

As in the applied pi calculus [2], we use *equational theories* for modelling the algebraic properties of the cryptographic primitives. An equational theory is defined by a finite set \mathbf{E} of equations $U = V$ with $U, V \in \mathcal{T}(\Sigma, \mathcal{X})$ and U, V without names. We define $=_{\mathbf{E}}$ to be the smallest equivalence relation on terms, that contains \mathbf{E} and that is closed under application of contexts and substitutions of terms for variables. Since the equations in \mathbf{E} do not contain any names, we have that $=_{\mathbf{E}}$ is also closed by substitutions of terms for names.

Example 1 Consider the signature $\Sigma_{\text{enc}} = \{\text{sdec}, \text{senc}, \text{adec}, \text{aenc}, \text{pk}, \langle \rangle, \text{proj}_1, \text{proj}_2\}$. The symbols `sdec`, `senc`, `adec`, `aenc`, and `\langle \rangle` are functional symbols of arity 2 that represent respectively the symmetric and asymmetric decryption and encryption as well as pairing functions whereas `pk`, `proj1` and `proj2` are functional symbols of arity 1 that represent public key and projection functions on respectively the first and the second component of a pair. A typical example of an equational theory useful for cryptographic protocols is \mathbf{E}_{enc} , defined by the following equations:

$$\begin{aligned} \text{sdec}(\text{senc}(x, y), y) &= x \\ \text{senc}(\text{sdec}(x, y), y) &= x \\ \text{adec}(\text{aenc}(x, \text{pk}(y)), y) &= x \\ \text{proj}_i(\langle x_1, x_2 \rangle) &= x_i \quad (i \in \{1, 2\}) \end{aligned}$$

Let $T_1 = \text{sdec}(\text{senc}(\text{senc}(n, k_1), k_2), k_2)$ and $T_2 = \text{senc}(n, k_1)$. In this theory, we have that the terms T_1 and T_2 are equal modulo \mathbf{E}_{enc} , written $T_1 =_{\mathbf{E}_{\text{enc}}} T_2$, while obviously the syntactic equality $T_1 = T_2$ does not hold.

2.2 Assembling Terms into Frames

At some moment, while engaging in one or more sessions of one or more protocols, an attacker may have observed a sequence of messages M_1, \dots, M_ℓ . We want to represent this knowledge of the attacker. It is not enough for us to say that the attacker knows the *set* of terms $\{M_1, \dots, M_\ell\}$, since he also knows the order that he observed them in. Furthermore, we should distinguish those names that the attacker knows from those that were freshly generated by others and which remain secret from the attacker; both kinds of names may appear in the terms. We use the concept of *frame* from the applied pi calculus [2] to represent the knowledge of the attacker. A *frame* $\phi = \nu \tilde{n}.\sigma$ consists of a finite set $\tilde{n} \subseteq \mathcal{N}$ of *restricted* names (those that the attacker does not know), and a substitution σ of the form $\{M_1/x_1, \dots, M_\ell/x_\ell\}$. The variables enable us to refer to each M_i . We always assume that the terms M_i are ground. The names \tilde{n} are bound and can be renamed. We denote by $=_\alpha$ the α -renaming relation on frames. The *domain* of the frame ϕ , written $\text{dom}(\phi)$, is defined as $\{x_1, \dots, x_\ell\}$.

2.3 Deduction

Given a frame ϕ that represents the information available to an attacker, we may ask whether a given ground term M may be deduced from ϕ . Given an equational theory \mathbf{E} on Σ , this relation is written $\phi \vdash_{\mathbf{E}} M$ and is formally defined below.

Definition 1 (deduction) Let M be a ground term and $\nu \tilde{n}.\sigma$ be a frame. We have that $\nu \tilde{n}.\sigma \vdash_{\mathbf{E}} M$ if and only if there exists a term $N \in \mathcal{T}(\Sigma, \mathcal{X})$ such that $fn(N) \cap \tilde{n} = \emptyset$ and $N\sigma =_{\mathbf{E}} M$. Such a term N is a *recipe* of the term M .

Intuitively, the deducible messages are the messages of ϕ and the names that are not protected in ϕ , closed by equality in \mathbf{E} and closed by application of function symbols. When $\nu \tilde{n}.\sigma \vdash_{\mathbf{E}} M$, any occurrence of names from \tilde{n} in M is bound by $\nu \tilde{n}$. So $\nu \tilde{n}.\sigma \vdash_{\mathbf{E}} M$ could be formally written $\nu \tilde{n}.\sigma \vdash_{\mathbf{E}} M$.

Example 2 Consider the theory \mathbf{E}_{enc} given in Example 1. Let $\phi = \nu k, s_1.\{\text{senc}(\langle s_1, s_2 \rangle, k)/x_1, k/x_2\}$. We have that $\phi \vdash_{\mathbf{E}_{\text{enc}}} k$, $\phi \vdash_{\mathbf{E}_{\text{enc}}} s_1$ and $\phi \vdash_{\mathbf{E}_{\text{enc}}} s_2$. Indeed x_2 , $\text{proj}_1(\text{sdec}(x_1, x_2))$ and s_2 are recipes of the terms k , s_1 and s_2 respectively.

2.4 Static Equivalence

The frames we have introduced are a bit too fine-grained as representations of the attacker's knowledge.

For example, $\nu k.\{\text{senc}(s_0, k)/x\}$ and $\nu k.\{\text{senc}(s_1, k)/x\}$ represent a situation in which the encryption of the public name s_0 (resp. s_1) by a randomly-chosen key has been observed. Since the attacker cannot detect the difference between these situations, the frames should be considered equivalent. To formalise this, we note that if two recipes M, N on the frame ϕ produce the same term, we say they are equal in the frame, and write $(M =_{\text{E}} N)\phi$. Thus, the knowledge of the attacker can be thought of as his ability to distinguish such recipes. If two frames have identical distinguishing power, then we say that they are *statically equivalent*. Formally:

Definition 2 (static equivalence [2]) *We say that two terms M and N are equal in the frame ϕ , and write $(M =_{\text{E}} N)\phi$, if there exists \tilde{n} and a substitution σ such that $\phi =_{\alpha} \nu \tilde{n}.\sigma$, $M\sigma =_{\text{E}} N\sigma$, and $\tilde{n} \cap (fn(M) \cup fn(N)) = \emptyset$. We say that two frames ϕ_1 and ϕ_2 are statically equivalent, $\phi_1 \approx_{\text{E}} \phi_2$, when:*

- $\text{dom}(\phi_1) = \text{dom}(\phi_2)$, and
- for all terms M, N we have that $(M =_{\text{E}} N)\phi_1$ if and only if $(M =_{\text{E}} N)\phi_2$.

Note that by definition of \approx , we have that $\phi_1 \approx \phi_2$ when $\phi_1 =_{\alpha} \phi_2$ and we have also that $\nu n.\phi \approx \phi$ when n does not occur in ϕ .

Example 3 *Consider again the equational theory \mathbf{E}_{enc} provided in Example 1. Let*

- $\phi = \nu k.\{\text{senc}(s_0, k)/x_1, k/x_2\}$, and
- $\phi' = \nu k.\{\text{senc}(s_1, k)/x_1, k/x_2\}$.

Intuitively, s_0 and s_1 could be the two possible (public) values of a vote. We have $(\text{sdec}(x_1, x_2) =_{\text{E}_{\text{enc}}} s_0)\phi$ whereas $(\text{sdec}(x_1, x_2) \neq_{\text{E}_{\text{enc}}} s_0)\phi'$. Therefore we have that $\phi \not\approx \phi'$. However, we have that

$$\nu k.\{\text{senc}(s_0, k)/x_1\} \approx \nu k.\{\text{senc}(s_1, k)/x_1\}.$$

The following lemma is a consequence of some lemmas stated in [2] and will be useful later on to establish our composition result.

Lemma 1 *Let $\phi_1 = \nu \tilde{n}_1.\sigma_1$ and $\phi_2 = \nu \tilde{n}_2.\sigma_2$ be two frames such that $\phi_1 \approx \phi_2$.*

1. $\nu n.\phi_1 \approx \nu n.\phi_2$ when $n \notin \tilde{n}_1 \cup \tilde{n}_2$,
2. $\phi_1\{s/n\} \approx \phi_2\{s/n\}$ when $n \notin \tilde{n}_1 \cup \tilde{n}_2$ and s is a fresh name.

3 Modelling Protocols and Guessing Attacks

We now define our cryptographic process calculus for describing protocols. This calculus is inspired by the applied pi calculus [2] but we prefer a simplified version which is sufficient for the purpose of this paper. In particular we only consider one channel, which is public (i.e. under the control of the attacker). Moreover, we only consider *closed* processes: all variables appearing in terms are under the scope of an input. Finally, we only consider finite processes, i.e., without replication. As we will argue at the end of Section 5 this is not a restriction and our composition result carries over to an unbounded number of sessions.

3.1 Protocol Language

The grammar for *processes* is given below. One has *plain processes* P, Q, R and *extended processes* A, B, C . Plain processes are formed from the grammar

$P, Q, R :=$	plain processes	
0		null process
$P \mid Q$		parallel composition
$\text{in}(x).P$		message input
$\text{out}(M).P$		message output
$\text{if } M = N \text{ then } P \text{ else } Q$		conditional

such that a variable x appears in a term only if the term is in the scope of an input $\text{in}(x)$. The null process 0 does nothing; $P \mid Q$ is the parallel composition of P and Q . The condition *if $M = N$ then P else Q* is standard, but $M = N$ represents equality modulo the underlying equational theory \mathbf{E} . We omit *else Q* when Q is 0 . The process $\text{in}(x).P$ is ready to input on the public channel, then to run P with the actual message instead of x , while $\text{out}(M).P$ is ready to output M , then to run P . Again, we omit P when P is 0 .

Further, we extend processes with active substitutions and restrictions:

$$A, B, C := P \mid A \mid B \mid \nu n.A \mid \{M/x\}$$

where M is a ground term. As usual, names and variables have scopes, which are delimited by restrictions and by inputs. We write $fv(A)$, $bv(A)$, $fn(A)$, $bn(A)$ for the sets of free and bound variables (resp. names). Moreover, we require processes to be *name and variable distinct*, meaning that $bn(A) \cap fn(A) = \emptyset$, $bv(A) \cap fv(A) = \emptyset$, and also that any name and variable is bound at most once in A . Note that the only free variables are introduced by active substitutions (the x

in $\{^M/x\}$). Lastly, in an extended process, we require that there is at most one substitution for each variable. We also extend replacements of names $\{^M/n\}$ from terms to processes when the names $fn(M) \cup \{n\}$ are not bound by the process. An *evaluation context* is an extended process with a hole instead of an extended process. Extended processes built up from the null process, active substitutions using parallel composition and restriction are called *frames* (extending the notion of frame introduced in Section 2.2). Given an extended process A we denote by $\phi(A)$ the frame obtained by replacing any embedded plain processes in it with 0.

Example 4 Consider the following process:

$$A = \nu s, k_1. (\text{out}(a) \mid \{\text{senc}(s, k_1)/x\} \mid \nu k_2. \text{out}(\text{senc}(s, k_2))).$$

$$\text{We have that } \phi(A) = \nu s, k_1. (0 \mid \{\text{senc}(s, k_1)/x\} \mid \nu k_2. 0).$$

3.2 Semantics

Structural equivalence. We consider a basic structural equivalence, i.e. the smallest equivalence relation closed by application of evaluation contexts and such that

$$\begin{array}{lcl} \text{PAR-0} & A \mid 0 & \equiv A \\ \text{PAR-C} & A \mid B & \equiv B \mid A \\ \text{PAR-A} & (A \mid B) \mid C & \equiv A \mid (B \mid C) \\ \\ \text{NEW-PAR} & A \mid \nu n. B & \equiv \nu n. (A \mid B) \quad n \notin fn(A) \\ \text{NEW-C} & \nu n_1. \nu n_2. A & \equiv \nu n_2. \nu n_1. A \end{array}$$

Using structural equivalence, every extended process A can be rewritten to consist of a substitution and a plain process with some restricted names, i.e.

$$A \equiv \nu \tilde{n}. (\{^M_1/x_1\} \mid \dots \mid \{^M_k/x_k\} \mid P).$$

In particular any frame can be rewritten as $\nu n. \sigma$ matching the notion of frame introduced in Section 2.2. Note that static equivalence on frames coincides with [2] (even though our process calculus is different). We note that unlike in the original applied pi calculus, active substitutions cannot “interact” with the extended processes. As we will see in the following active substitutions record the outputs of a process to the environment. The notion of frames will be particularly useful to define resistance against guessing attacks.

Example 5 Note that in Example 4, we have that $\phi(A) \equiv \nu s, k_1, k_2. \{\text{senc}(s, k_1)/x\}$.

We have the following useful lemma which comes from [2].

Lemma 2 Let $\phi_1 = \nu \tilde{n}_1. \sigma_1$ and $\phi_2 = \nu \tilde{n}_2. \sigma_2$ be two frames. Let $s \notin \tilde{n}_1 \cup \tilde{n}_2$.

1. $\nu s. \nu \tilde{n}_1. (\sigma_1 \mid \{^s/x\}) \approx \nu s. \nu \tilde{n}_2. (\sigma_2 \mid \{^s/x\})$ if and only if $\phi_1 \approx \phi_2$;
2. Let ϕ be another frame such that $\phi_1 \mid \phi$ and $\phi_2 \mid \phi$ are frames (this can always be obtained by α -renaming ϕ). If $\phi_1 \approx \phi_2$, then $\phi_1 \mid \phi \approx \phi_2 \mid \phi$.

Operational semantics. We now define the semantics of our calculus. The labelled semantics defines a relation $A \xrightarrow{\ell} A'$ where ℓ is a label of one of the following forms:

- a label $in(M)$, where M is a ground term such that $\phi(A) \vdash_E M$. This corresponds to an input of M ;
- a label $out(M)$, where M is a ground term, which corresponds to an output of M ;
- a label τ corresponding to a silent action.

Labelled operational semantics ($\xrightarrow{\ell}$) is the smallest relation between extended processes which is closed under structural equivalence (\equiv) and such that

$$\begin{array}{lcl} \text{IN} & in(x).P & \xrightarrow{in(M)} P\{^M/x\} \\ \\ \text{OUT} & out(M).P & \xrightarrow{out(M)} P \mid \{^M/x\} \\ & & \text{where } x \text{ is a fresh variable} \\ \\ \text{THEN} & \text{if } M = N \text{ then } P \text{ else } Q & \xrightarrow{\tau} P \\ & & \text{where } M =_E N \\ \\ \text{ELSE} & \text{if } M = N \text{ then } P \text{ else } Q & \xrightarrow{\tau} Q \\ & & \text{where } M \neq_E N \\ \\ \text{CONT.} & \frac{A \xrightarrow{\ell} B}{C[A] \xrightarrow{\ell} C[B]} & \\ & & \text{where } C \text{ is an evaluation context, and} \\ & & \text{if } \ell = in(M) \text{ then } \phi(C[A]) \vdash_E M \end{array}$$

These rules use standard ideas known from pi calculus derivatives. Note that the $in(M)$ label has as parameter the closed term being input, unlike in the applied pi calculus where the input term may contain variables. The side condition on CONT. ensures that the environment can deduce the input message M even though the context may restrict some names in M . The output of a message M adds an active substitution. Note that an output M may contain restricted names without revealing these names. As explained

previously, some of the design choices of the semantics differ slightly from the applied pi calculus. Our choices allow us to consider a very simple structural equivalence and avoid unnecessary complications in the proofs of our main results. We denote by \rightarrow the relation $\bigcup \{ \xrightarrow{\ell} \mid \ell \in \{in(M), out(M), \tau\}, M \in \mathcal{T}(\Sigma) \}$ and by \rightarrow^* its reflexive and transitive closure.

Example 6 *We illustrate our syntax and semantics with the well-known handshake protocol.*

$$\begin{array}{l} A \rightarrow B : \text{senc}(n, w) \\ B \rightarrow A : \text{senc}(f(n), w) \end{array}$$

The goal of this protocol is to authenticate B from A's point of view, provided that they share an initial secret w . This is done by a simple challenge-response transaction: A sends a random number (a nonce) encrypted with the shared secret key w . Then, B decrypts this message, applies a given function (for instance $f(n) = n + 1$) to it, and sends the result back, also encrypted with w . Finally, the agent A checks the validity of the result by decrypting the message and checking the decryption against $f(n)$. In our calculus, we model the protocol as $\nu w.(A \mid B)$ where

- $A = \nu n. out(\text{senc}(n, w)). in(x).$
if $\text{sdec}(x, w) = f(n)$ then P
- $B = in(y). out(\text{senc}(f(\text{sdec}(y, w)), w)).$

where P models an application that is executed when B has been successfully authenticated. The derivation described in Figure 1 represents a normal execution of the protocol. For simplicity of this example we suppose that $x \notin fv(P)$.

3.3 Guessing Attacks

The idea behind the definition is the following. Suppose the frame ϕ represents the information gained by the attacker by eavesdropping one or more sessions and let w be the weak password. Then, we can represent resistance against guessing attacks by checking whether the attacker can distinguish a situation in which he guesses the correct password w and a situation in which he guesses an incorrect one, say w' . We model these two situations by adding $\{w/x\}$ (resp. $\{w'/x\}$) to the frame. We use static equivalence to capture the notion of indistinguishability. This definition is due to Baudet [7], inspired from the one of [13]. In our definition, we allow multiple shared secrets, and write \tilde{w} for a sequence of such secrets.

Definition 3 *Let $\phi \equiv \nu \tilde{w}.\phi'$ be a frame. We say that the frame ϕ is resistant to guessing attacks against \tilde{w} if*

$$\nu \tilde{w}.\langle \phi' \mid \{\tilde{w}/\tilde{x}\} \rangle \approx \nu \tilde{w}'.\nu \tilde{w}.\langle \phi' \mid \{\tilde{w}'/\tilde{x}\} \rangle$$

where \tilde{w}' is a sequence of fresh names and \tilde{x} a sequence of variables such that $\tilde{x} \cap \text{dom}(\phi) = \emptyset$.

Note that this definition is general w.r.t. to the equational theory and the number of guessable data items. Now, we can define what it means for a protocol to be resistant against guessing attacks.

Definition 4 *Let A be a process and $\tilde{w} \subseteq \text{bn}(A)$. We say that A is resistant to guessing attacks against \tilde{w} if, for every process B such that $A \rightarrow^* B$, we have that the frame $\phi(B)$ is resistant to guessing attacks against \tilde{w} .*

Example 7 *Consider the handshake protocol described in Example 6. An interesting problem arises if the shared key w is a weak secret, i.e. vulnerable to brute-force off-line testing. In such a case, the protocol has a guessing attack against w . Indeed, we have that*

$$\nu w.(A \mid B) \rightarrow^* D$$

with $\phi(D) = \nu w.\nu n.(\{\text{senc}(n, w)/x_1\} \mid \{M/x_2\})$.

The frame $\phi(D)$ is not resistant to guessing attacks against w . The test $f(\text{sdec}(x_1, w)) \stackrel{?}{=} \text{sdec}(x_2, w)$ allows us to distinguish the two associated frames:

- $\nu w.\nu n.(\{\text{senc}(n, w)/x_1\} \mid \{M/x_2\} \mid \{w/x\})$, and
- $\nu w'.\nu w.\nu n.(\{\text{senc}(n, w)/x_1\} \mid \{M/x_2\} \mid \{w'/x\})$.

4 Composition Result – Passive Case

The goal of this section is to establish a composition result in the passive case for resistance against guessing attacks. We first show the equivalence of three definitions of resistance against guessing attacks: the first definition is due to Baudet [7] and the second one is due to Corin *et al.* [13]. The last definition is given in a composable way and establishes our composition result (see Corollary 1).

Proposition 1 *Let ϕ be a frame such that $\phi \equiv \nu \tilde{w}.\phi'$. The three following statements are equivalent:*

1. ϕ is resistant to guessing attacks against \tilde{w} (according to Definition 3),
2. $\phi' \approx \nu \tilde{w}.\phi'$,
3. $\phi' \approx \phi'\{\tilde{w}'/\tilde{w}\}$ where \tilde{w}' is a sequence of fresh names.

$$\begin{array}{lcl}
\nu w.(A \mid B) & \xrightarrow{\text{out}(\text{senc}(n,w))} & \nu w.\nu n.(B \mid \{\text{senc}(n,w)/x_1\} \mid \text{in}(x). \text{ if } \text{sdec}(x,w) = f(n) \text{ then } P) \\
& \xrightarrow{\text{in}(\text{senc}(n,w))} & \nu w.\nu n.(\text{out}(M) \mid \{\text{senc}(n,w)/x_1\} \mid \text{in}(x). \text{ if } \text{sdec}(x,w) = f(n) \text{ then } P) \\
& \xrightarrow{\text{out}(M)} & \nu w.\nu n.(\{\text{senc}(n,w)/x_1\} \mid \{M/x_2\} \mid \text{in}(x). \text{ if } \text{sdec}(x,w) = f(n) \text{ then } P) \\
& \xrightarrow{\text{in}(\text{senc}(f(n),w))} & \nu w.\nu n.(\{\text{senc}(n,w)/x_1\} \mid \{M/x_2\} \mid \text{if } \text{sdec}(\text{senc}(f(n),w),w) = f(n) \text{ then } P) \\
& \xrightarrow{\tau} & \nu w.\nu n.(\{\text{senc}(n,w)/x_1\} \mid \{M/x_2\} \mid P)
\end{array}$$

where $M = \text{senc}(f(\text{sdec}(\text{senc}(n,w),w)),w) =_{\text{E}} \text{senc}(f(n),w)$.

Figure 1. Example 6

Proof. Let ϕ be a frame such that $\phi \equiv \nu \tilde{w}.\phi'$. We first establish that the two first statements are equivalent. Indeed, we have that:

$$\begin{array}{lcl}
\phi' \approx \nu \tilde{w}.\phi' & & \\
\Leftrightarrow \phi' \approx \nu \tilde{w}'.\phi'\{\tilde{w}'/\tilde{w}\} & & \text{by } \alpha\text{-renaming} \\
\Leftrightarrow \nu \tilde{w}.\phi' \mid \{\tilde{w}/\tilde{x}\} \approx \nu \tilde{w}.\nu \tilde{w}'.(\phi'\{\tilde{w}'/\tilde{w}\} \mid \{\tilde{w}/\tilde{x}\}) & & \text{by Lemma 2 (item 1.)} \\
\Leftrightarrow \nu \tilde{w}.\phi' \mid \{\tilde{w}/\tilde{x}\} \approx \nu \tilde{w}'.\nu \tilde{w}.\phi' \mid \{\tilde{w}'/\tilde{x}\} & & \text{by } \alpha\text{-renaming}
\end{array}$$

Now, we show that 3 \Rightarrow 2. We have the following implications.

$$\begin{array}{lcl}
\phi' \approx \phi'\{\tilde{w}'/\tilde{w}\} & & \\
\Rightarrow \nu \tilde{w}.\phi' \approx \nu \tilde{w}.\phi'\{\tilde{w}'/\tilde{w}\} & & \text{by Lemma 1 (item 1.)} \\
\Rightarrow \nu \tilde{w}.\phi' \approx \phi'\{\tilde{w}'/\tilde{w}\} & & \\
& & \text{since } \tilde{w} \text{ does not occur in } \phi'\{\tilde{w}'/\tilde{w}\} \\
\Rightarrow \nu \tilde{w}.\phi' \approx \phi' & & \\
& & \text{since } \phi' \approx \phi'\{\tilde{w}'/\tilde{w}\} \text{ by hypothesis}
\end{array}$$

Finally, we prove that 2 \Rightarrow 3.

$$\begin{array}{lcl}
\phi' \approx \nu \tilde{w}.\phi' & & \\
\Rightarrow \phi' \approx \nu \tilde{w}'.\phi'\{\tilde{w}'/\tilde{w}\} & & \text{by } \alpha\text{-renaming} \\
\Rightarrow \phi'\{\tilde{w}'/\tilde{w}\} \approx \nu \tilde{w}'.\phi'\{\tilde{w}'/\tilde{w}\} & & \text{by Lemma 1 (item 2.)} \\
\Rightarrow \phi'\{\tilde{w}'/\tilde{w}\} \approx \nu \tilde{w}.\phi' & & \text{by } \alpha\text{-renaming} \\
\Rightarrow \phi'\{\tilde{w}'/\tilde{w}\} \approx \phi' & & \\
& & \text{since } \phi' \approx \nu \tilde{w}.\phi' \text{ by hypothesis } \square
\end{array}$$

Now, by relying on Proposition 1 (item 3.), it is easy to show that resistance to guessing attack against \tilde{w} for two frames that share only the names \tilde{w} is a composable notion. This is formally stated in the corollary below:

Corollary 1 *Let $\phi_1 \equiv \nu \tilde{w}.\phi'_1$ and $\phi_2 \equiv \nu \tilde{w}.\phi'_2$ be two frames such that $\nu \tilde{w}.\phi'_1 \mid \phi'_2$ is also a frame (this can be achieved by using α -renaming).*

If ϕ_1 and ϕ_2 are resistant to guessing attacks against \tilde{w} then $\nu \tilde{w}.\phi'_1 \mid \phi'_2$ is also resistant to guessing attacks against \tilde{w} .

Proof. By relying on Proposition 1 (point 3.), we have that $\phi'_1 \approx \phi'_1\{\tilde{w}'/\tilde{w}\}$ and also that $\phi'_2 \approx \phi'_2\{\tilde{w}'/\tilde{w}\}$.

Now, thanks to Lemma 2 (item 2.), we have that

- $\phi'_1 \mid \phi'_2 \approx \phi'_1\{\tilde{w}'/\tilde{w}\} \mid \phi'_2$, and
- $\phi'_1\{\tilde{w}'/\tilde{w}\} \mid \phi'_2 \approx \phi'_1\{\tilde{w}'/\tilde{w}\} \mid \phi'_2\{\tilde{w}'/\tilde{w}\}$.

This allows us to conclude that

$$\phi'_1 \mid \phi'_2 \approx (\phi'_1 \mid \phi'_2)\{\tilde{w}'/\tilde{w}\}$$

which means that the frame $\nu \tilde{w}.\phi'_1 \mid \phi'_2$ is resistant to guessing attacks against \tilde{w} . \square

Note that a similar result does not hold for deducibility (see Definition 1): even if w is neither deducible from ϕ_1 nor from ϕ_2 , it can be deducible from $\phi_1 \mid \phi_2$. Such an example is given below.

Example 8 *Consider again the equational theory E_{enc} . Consider the two following frames: $\phi_1 = \{\text{senc}(w,\text{senc}(w,w))/x_1\}$ and $\phi_2 = \{\text{senc}(w,w)/x_2\}$. We have that $\nu w.\phi_i \not\vdash_{\text{E}} w$ for $i = 1, 2$ whereas $\nu w.(\{\text{senc}(w,\text{senc}(w,w))/x_1\} \mid \{\text{senc}(w,w)/x_2\}) \vdash_{\text{E}} w$. Indeed, the term $\text{sdec}(x_1, x_2)$ is a recipe of the term w .*

In the case of *password-only* protocols, i.e., protocols that only share a password between different sessions and do not have any other long-term shared secrets we have the following direct consequence. We can prove resistance against guessing attacks for an unbounded number of parallel sessions by proving only resistance against guessing attacks for a single session. An example of a password-only protocol is the well-known EKE protocol [9], which has also been analysed in [13].

Example 9 *The EKE protocol [9] can be informally described by the following 5 steps. A formal description of this protocol in our calculus is given in Figure 2.*

$$\begin{array}{ll}
A \rightarrow B : & \text{senc}(\text{pk}(k), w) \quad (\text{EKE.1}) \\
B \rightarrow A & \text{senc}(\text{aenc}(r, \text{pk}(k)), w) \quad (\text{EKE.2}) \\
A \rightarrow B & \text{senc}(na, r) \quad (\text{EKE.3}) \\
B \rightarrow A & \text{senc}(\langle na, nb \rangle, r) \quad (\text{EKE.4}) \\
A \rightarrow B & \text{senc}(nb, r) \quad (\text{EKE.5})
\end{array}$$

$ \begin{aligned} A &= \nu k, na. \\ &\text{out}(\text{senc}(\text{pk}(k), w)). \\ &\text{in}(x_1). \\ &\text{let } ra = \text{adec}(\text{sdec}(x_1, w), k). \\ &\text{out}(\text{senc}(na, ra)) \\ &\text{in}(x_2). \\ &\text{if } \text{proj}_1(\text{sdec}(x_2, ra)) = na \text{ then} \\ &\text{out}(\text{sdec}(\text{proj}_2(\text{sdec}(x_2, ra)), ra)) \end{aligned} $	$ \begin{aligned} B &= \nu r, nb. \\ &\text{in}(y_1). \\ &\text{out}(\text{senc}(\text{aenc}(r, \text{sdec}(y_1, w)), w)). \\ &\text{in}(y_2). \\ &\text{out}(\text{senc}(\langle \text{sdec}(y_2, r), nb \rangle, r)). \\ &\text{in}(y_3) \\ &\text{if } \text{sdec}(y_3, r) = nb \text{ then} \\ &0 \end{aligned} $
---	---

We use the construction $\text{let } x = M$ to enhance readability. The semantics of this construction is to simply replace x by M in the remaining of the process.

Figure 2. Modelling of the EKE protocol

In the first step (EKE.1) A generates a new private key k and sends the corresponding public key $\text{pk}(k)$ to B, encrypted (using symmetric encryption) with the shared password w . Then, B generates a fresh session key r , which he encrypts (using asymmetric encryption) with the previously received public key $\text{pk}(k)$. Finally, he encrypts the resulting ciphertext with the password w and sends the result to A (EKE.2). The last three steps (EKE.3-5) perform a handshake to avoid replay attacks. One may note that this is a password-only protocol. A new private and public key are used for each session and the only shared secret between different sessions is the password w .

We use the equational theory \mathbf{E}_{enc} presented in Example 1 to model this protocol. An execution of this protocol in the presence of a passive attacker yields the frame $\nu w.\phi$ where

$$\phi = \nu k, r, na, nb. \left\{ \begin{array}{l} \text{senc}(\text{pk}(k), w) / x_1, \text{senc}(\text{aenc}(r, \text{pk}(k)), w) / x_2, \\ \text{senc}(na, r) / x_3, \text{senc}(\langle na, nb \rangle, r) / x_4, \text{senc}(nb, r) / x_5 \end{array} \right\}$$

We have that $\nu w.(\phi \mid \{w/x\}) \approx \nu w, w'.(\phi \mid \{w'/x\})$. We have verified this static equivalence using the YAPA tool [6].

Corin et al. [13] also analysed one session of this protocol (with a slight difference in the modelling). It directly follows from our previous result that the protocol is secure for any number of sessions as the only secret shared between different sessions is the password w .

5 Composition Result – Active Case

In the active case, contrary to the passive case, resistance against guessing attacks does not compose: even if two protocols separately resist against guessing attacks on w , their parallel composition under the shared password w may be insecure. Consider the following example.

Example 10 Consider the processes defined in Figure 2 where the occurrence of 0 in B has been replaced by $\text{out}(w)$. Let A' and B' these two processes. The process $\nu w.(A' \mid B')$ models a variant of the EKE protocol where B' outputs the password w if the authentication of A' succeeds. We have that $\nu w.A'$ and $\nu w.B'$ resist against guessing attacks on w . We have verified these statements by using the ProVerif tool [11]. However, $\nu w.(A' \mid B')$ trivially leaks w . More generally any secure password only authentication protocol can be modified in this way to illustrate that resistance against guessing attacks does not compose in the active case.

The previous example may not be entirely convincing, since there is no environment in which either of the separate processes $\nu w.A'$ and $\nu w.B'$ is executable. We do not give a formal definition of what it means for a process to be executable. Therefore we present a second example in which each of the constituent processes admits a complete execution by interacting with the environment. However, the example requires a somewhat contrived equational theory.

Example 11 Consider the following processes A_1 and A_2 :

$$\begin{aligned}
A_1 &= \nu n_1. \text{out}(f_1(w, n_1)). \text{in}(x). \text{out}(f_3(x, n_1)) \\
A_2 &= \nu n_2. \text{in}(y). \text{out}(f_2(y, w, n_2))
\end{aligned}$$

and the equational theory induced by the equation $f_3(f_2(f_1(x, y), x, z), y) = x$. We indeed have that w resists against guessing attacks in $\nu w.A_1$ and in $\nu w.A_2$; we have verified this using the ProVerif tool [10]. However, the name w is subject to a guessing attack in $\nu w.(A_1 \mid A_2)$. Indeed, we have that

$$\nu w.(A_1 \mid A_2) \rightarrow^* \nu w, n_1, n_2. (\{f_1(w, n_1) / x_1\} \mid \{f_2(f_1(w, n_1), w, n_2) / x_2\} \mid \{M / x_3\})$$

where $M =_{\mathbf{E}} w$. The obtained frame $\nu w.\phi'$ is not resistant to guessing attack against w . We indeed have that

$\nu w.\phi' \vdash w$, and hence $\phi' \not\approx \phi'\{w'/w\}$. The see this, consider for instance the test $x_3 \stackrel{?}{=} w$.

This example shows that there is no hope to obtain a general composition result that holds for an arbitrary equational theory. Thus, to reach our goal, we need to consider a restricted class of protocols: the class of well-tagged protocols.

5.1 Well-tagged Protocols

Intuitively, a protocol is well-tagged w.r.t. a secret w if all the occurrences of w are of the form $h(\alpha, w)$. We require that h is a hash function (i.e., has no equations in the equational theory), and α is a name, which we call the *tag*. The idea is that if each protocol is tagged with a different name (e.g. the name of the protocol) then the protocols compose safely. Note that a protocol can be very easily transformed into a well-tagged protocol (see Section 6). In the remainder, we will consider an arbitrary equational theory E , provided there is no equation for h .

Definition 5 (well-tagged) *Let M be a term and w be a name. We say that M is α -tagged w.r.t. w if there exists M' such that $M'\{h(\alpha, w)/w\} =_E M$.*

A term is said well-tagged w.r.t. w if it is α -tagged w.r.t. w for some name α . An extended process A is α -tagged if any term occurring in it is α -tagged. An extended process is well-tagged if it is α -tagged for some name α .

Other ways of tagging a protocol exist in the literature. For example, in [15] encryptions are tagged to ensure that they cannot be used to attack other instances of the protocol. That particular method would not work here; on the contrary, that kind of tagging is likely to add guessing attacks.

Example 12 *Let $A = \nu w, s.out(\text{senc}(s, w))$. We have that A is resistant to guessing attacks against w . However, the corresponding well-tagged protocol, according to the definition given in [15], is not. Indeed,*

$$A' = \nu w, s.out(\text{senc}(\langle \alpha, s \rangle, w))$$

is not resistant to guessing attack against w . The tag α which is publicly known can be used to mount such an attack.

Another tagging method we considered is to replace w by $\langle \alpha, w \rangle$ (instead of $h(\alpha, w)$), which has the advantage of being computationally cheaper. This transformation does not work, although the only counterexamples we

have are rather contrived. For example, this transformation does not preserve resistance against guessing attacks as soon as the equational theory allows one to test whether a given message is a pair. In particular this is possible in the theory E_{enc} by testing whether $\langle \text{proj}_1(x), \text{proj}_2(x) \rangle =_{E_{\text{enc}}} x$.

Example 13 *Consider the equational theory E_{enc} .*

Let $A = \nu w, k.out(\text{senc}(w, k)).in(x)$. if

$$\text{proj}_1(\text{dec}(x, k)) = \alpha \text{ then } out(w).$$

The process A is resistant to guessing attacks against w since the last instruction can never be executed. However, the protocol obtained by replacing w by $\langle \alpha, w \rangle$ is clearly not.

Note that we can build a similar example without using α in the specification of A . We can simply compare the first component of two ciphertexts issued from the protocols. This should lead to an equality (i.e. a test) which does not necessarily exist in the original protocol. Some non-executable protocols also cause some trouble for the simple tagging method $\langle \alpha, w \rangle$.

5.2 Composition Theorem

We show that any two well-tagged protocols that are separately resistant to guessing attacks can be safely composed provided that they use different tags. The following theorem formalizes the intuition that replacing the shared password with a hash parametrized by the password and a tag is similar to using different passwords which implies composition.

Theorem 1 (composition result) *Let A_1 and A_2 be two well-tagged processes w.r.t. w such that the process A_1 (resp. A_2) is α -tagged (resp. β -tagged) and $\nu w.(A_1 \mid A_2)$ is a process (this can be achieved by using α -renaming).*

If $\nu w.A_1$ and $\nu w.A_2$ are resistant to guessing attacks against w and $\alpha \neq \beta$, then we have that $\nu w.(A_1 \mid A_2)$ is also resistant to guessing attacks against w .

Theorem 1 is proved by contradiction in two main steps. First, we show that separately secure protocols compose safely when no secret is shared, i.e., $\nu w_1.A_1\{w_1/w\} \mid \nu w_2.A_2\{w_2/w\}$ resists against guessing attacks on w_1, w_2 . This is rather easy to establish since these two protocols do not share any secret data (Proposition 2). The proof is given in Appendix.

Proposition 2 *Let A_1 and A_2 be two extended processes such that A_1 (resp. A_2) is resistant to guessing attack against w_1 (resp. w_2) and $A_1 \mid A_2$ is a process. We have that $A_1 \mid A_2$ is resistant to guessing attack against w_1, w_2 .*

Now, we show how to map an execution of $\nu w.(A_1\{^w/w_1\} \mid A_2\{^w/w_2\})$ (same password) to an execution of $\nu w_1.A_1 \mid \nu w_2.A_2$ (different password) by maintaining a strong connection between these two derivations. Intuitively, as A_1 is α -tagged and A_2 is β -tagged we can simply replace $h(\alpha, w)$ by $h(\alpha, w_1)$ and $h(\beta, w)$ by $h(\beta, w_2)$ in any execution. The proof of the following proposition is given in Appendix.

Proposition 3 *Let A be an extended process with no occurrence of w in it and such that $w_1, w_2, \alpha, \beta \notin \text{bn}(A)$ and $A' \{^{h(\alpha, w_1)}/w_1\} \{^{h(\beta, w_2)}/w_2\} =_{\text{E}} A$ for some A' . Let \bar{B} be such that $\nu w.(A\{^w/w_1\}\{^w/w_2\}) \xrightarrow{\ell} \bar{B}$. Moreover, when $\ell = \text{in}(\tilde{M})$ we assume that $w_1, w_2 \notin \text{fn}(\tilde{M})$. Then there exists B and B' such that*

- $\bar{B} \equiv \nu w.(B\{^w/w_1\}\{^w/w_2\})$ with no occurrence of w in B , and
- $B' \{^{h(\alpha, w_1)}/w_1\} \{^{h(\beta, w_2)}/w_2\} =_{\text{E}} B$, and
- $\nu w_1.\nu w_2.A \rightarrow \nu w_1.\nu w_2.B$.

Finally, we show that if a frame, obtained by executing two protocols sharing a same password, is vulnerable to guessing attacks then the frame obtained by the corresponding execution of the protocols with different passwords is also vulnerable to guessing attacks. The proof of the lemma is technical because mapping w_1 and w_2 on the same password can introduce additional equalities between terms. Again, the lemma holds because the frames are well-tagged. The proof is given in Appendix A.

Lemma 3 *Let $\phi_1 = \nu \tilde{n}.\sigma_1$ and $\phi_2 = \nu \tilde{n}.\sigma_2$ be two frames such that $\phi_1 \approx \phi_2$, $w_1, w_2, \alpha, \beta \notin \tilde{n}$ and such that $\phi_i =_{\text{E}} \phi'_i \{^{h(\alpha, w_1)}/w_1\} \{^{h(\beta, w_2)}/w_2\}$ for some frame ϕ'_i ($i = 1, 2$). Let w be a fresh name. We have that*

$$\phi_1 \{^w/w_1\} \{^w/w_2\} \approx \phi_2 \{^w/w_1\} \{^w/w_2\}$$

Now, we can prove Theorem 1.

Proof. We prove our composition result by contradiction. Assume that the process $\nu w.(A_1 \mid A_2)$ is not resistant to guessing attacks against w . We show that the process $\nu w_1.A_1\{^{w_1/w_1}\} \mid \nu w_2.A_2\{^{w_2/w_2}\}$ is not resistant to guessing attack against w_1, w_2 . This means, by Proposition 2, that $\nu w_i.A_i\{^{w_i/w_i}\}$ is not resistant to guessing attacks against w_i for $i = 1$ or $i = 2$. Thus, by α -renaming, $\nu w.A_i$ is not resistant to guessing attacks against w for $i = 1$ or $i = 2$. Hence, a contradiction.

By definition of guessing attacks, we have that there exists an extended process \bar{A} such that:

- $\nu w.(A_1 \mid A_2) \rightarrow^* \bar{A}$, and

- the frame $\phi(\bar{A})$ is not resistant to guessing attacks against w .

We assume w.l.o.g. that the free names w_1, w_2 , which do not occur in $\nu w.(A_1 \mid A_2)$, are not used along the derivation. By iterating Proposition 3, we have that there exist two extended processes A and A' such that:

- $\bar{A} \equiv \nu w.A\{^w/w_1\}\{^w/w_2\}$,
- $A' \{^{h(\alpha, w_1)}/w_1\} \{^{h(\beta, w_2)}/w_2\} =_{\text{E}} A$, and
- $\nu w_1.\nu w_2.(A_1\{^{w_1/w_1}\} \mid A_2\{^{w_2/w_2}\}) \rightarrow^* \nu w_1.\nu w_2.A$.

It remains to show that $\phi(A) \not\approx \phi(A)\{^{w'_1/w_1}\}\{^{w'_2/w_2}\}$.

Suppose that $\phi(A) \approx \phi(A)\{^{w'_1/w_1}\}\{^{w'_2/w_2}\}$. Applying Lemma 3 with the replacements $\{^w/w_1\}\{^w/w_2\}$ and $\{^{w'_1/w'_1}\}\{^{w'_2/w'_2}\}$, we obtain that:

- $\phi(A)\{^w/w_1\}\{^w/w_2\} \approx \phi(A)\{^{w'_1/w_1}\}\{^{w'_2/w_2}\}$, and
- $\phi(A) \approx \phi(A)\{^{w'_1/w_1}\}\{^{w'_2/w_2}\}$.

Since $\phi(A) \approx \phi(A)\{^{w'_1/w_1}\}\{^{w'_2/w_2}\}$, by transitivity of \approx , we obtain that

$$\phi(A)\{^w/w_1\}\{^w/w_2\} \approx (\phi(A)\{^w/w_1\}\{^w/w_2\})\{^{w'/w}\}.$$

Hence, $\phi(\bar{A})$ is resistant to guessing attacks against w . Thus, we obtain a contradiction and conclude the proof. \square

One may note that our composition result holds for an unbounded number of sessions (even though our protocol language does not include replication). This is because our proof is done by contradiction and the fact that any attack only uses a finite number of sessions. Indeed, suppose that two protocols are separately resistant against guessing attacks for an unbounded number of sessions and that their parallel composition allows a guessing attack. As any attack only requires a finite number of sessions, by Theorem 1, we have that one of the protocols admits an attack leading to a contradiction.

6 Transformation to Obtain Well-Tagged Protocols

In the previous section, we proved a composition result for protocols that resist against guessing attacks. Unfortunately, it only applies to protocols that are well-tagged. This is indeed a restriction, since most of the existing protocols are not well-tagged. In this section, we give a simple, syntactic transformation which allows us to transform any protocol into a well-tagged one. If $\nu w.A$ is a process resistant to guessing attacks

against w , then the transformed process is defined as $\nu w.(A\{\mathbf{h}(\alpha, w)/w\})$: any occurrence of the password w in A is replaced by $\mathbf{h}(\alpha, w)$. In this section, we show that this transformation is safe in the sense that if a process is resistant to guessing attacks against w , then the transformed process is also resistant to guessing attack against w . Theorem 2, stated below, is proved by contradiction in two main steps by relying on Proposition 4 and Lemma 4.

Theorem 2 *Let $A \equiv \nu w.A'$ be a process resistant to guessing attacks against w , then we have that $\nu w.(A'\{\mathbf{h}(\alpha, w)/w\})$ is also resistant to guessing attacks against w .*

Theorem 2 is proved by contradiction in two main steps by relying on Proposition 4 and Lemma 4. In Proposition 4, we show how to map an execution of a well-tagged protocol to an execution of the original (not well-tagged) protocol. We maintain a strong connection between the two executions.

Proposition 4 *Let A be a process with $w, \alpha \notin \text{bn}(A)$ and $A'\{\mathbf{h}(\alpha, w)/w\} =_{\mathbb{E}} A$ for some A' . If $\nu w.A \rightarrow \overline{B}$, then $\overline{B} \equiv \nu w.B$ and there exists a process B' such that $B'\{\mathbf{h}(\alpha, w)/w\} =_{\mathbb{E}} B$ and $\nu w.A' \rightarrow \nu w.B'$.*

Then, we show that static equivalence is preserved by the transformation $\{\mathbf{h}(\alpha, w)/w\}$. This is crucial to do not introduce guessing attack.

Lemma 4 *Let ϕ_1 and ϕ_2 be two frames such that $\phi_1 \approx \phi_2$. Let w, α be such that $w, \alpha \notin \text{bn}(\phi_1) \cup \text{bn}(\phi_2)$. We have that*

$$\phi_1\{\mathbf{h}(\alpha, w)/w\} \approx \phi_2\{\mathbf{h}(\alpha, w)/w\}.$$

Now, we are able to prove Theorem 2.

Proof. Assume that $\nu w.(A'\{\mathbf{h}(\alpha, w)/w\})$ is not resistant to guessing attacks on w . This means that there exists a process \overline{B} such that:

- $\nu w.(A'\{\mathbf{h}(\alpha, w)/w\}) \rightarrow^* \overline{B}$, and
- the frame $\phi(\overline{B})$ is not resistant to guessing attacks against w .

By applying Proposition 4, we easily obtained that $\overline{B} \equiv \nu w.B$ for some process B and there exists B' such that $B'\{\mathbf{h}(\alpha, w)/w\} =_{\mathbb{E}} B$ and $\nu w.A' \rightarrow^* \nu w.B'$. To conclude, it remains to show that

$$\phi(B') \not\approx \phi(B')\{w'/w\}.$$

Assume that $\phi(B') \approx \phi(B')\{w'/w\}$, thanks to Lemma 4, we easily obtain that

- $\phi(B) =_{\mathbb{E}} \phi(B')\{\mathbf{h}(\alpha, w)/w\} \approx (\phi(B')\{w'/w\})\{\mathbf{h}(\alpha, w)/w\} = \phi(B')\{w'/w\}$, and
- $\phi(B') = \phi(B')\{\mathbf{h}(\alpha, w')/w'\} \approx (\phi(B')\{w'/w\})\{\mathbf{h}(\alpha, w')/w'\} =_{\mathbb{E}} \phi(B)\{w'/w\}$.

Since $\phi(B') \approx \phi(B')\{w'/w\}$, and by transitivity of \approx , we obtain $\phi(B) \approx \phi(B)\{w'/w\}$ which contradicts the fact that $\phi(\overline{B})$ is not resistant to guessing attacks against w . \square

We have shown that resistance against guessing attacks is preserved by our transformation. The simplicity of our transformation should also ensure that the functionalities of the protocol are preserved as well. A rigorous proof of this would require a formal definition of what it means to “preserve the functionalities” of a protocol.

7 Conclusion

We investigated the composition of protocols that share a common secret, and answered the question of whether such composition preserves resistance to guessing attacks. In the passive case (where the attacker cannot interact with the protocol but can analyse the transcript of messages it generated), we showed that if the two protocols individually resist guessing attacks, then the composition does too. In the active case, we showed that this result does not hold in general, but we showed that one could tag the protocols in such a way that they compose without compromising the resistance to guessing attacks.

An alternative direction of research would be to investigate whether there are conditions on the equational theory and a suitable condition of executability that would make the composition result hold without tagging for the active case. In particular we do not have an individually-executable counterexample for the common equational theory \mathbb{E}_{enc} given in Example 1. It would also be interesting to consider the case where additional long term keys are shared. Broader directions for future research include composition of other security properties, such as observational equivalence for processes that share secrets, and different composition operators, e.g. sequential composition.

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A Combination

A.1 Proof of Lemma 3

The goal of this section is to prove Lemma 3. Before to prove it, we introduce the following splitting function.

Definition 6 Given a frame ϕ , two terms $h(\alpha, w_1)$ and $h(\beta, w_2)$ such that w_1 and w_2 are two different names and let w be another name. The splitting function split_ϕ w.r.t. ϕ , $h(\alpha, w_1)$, $h(\beta, w_2)$ and w is defined recursively as $\text{split}_\phi(u) = u$ when u is a name or a variable and $\text{split}_\phi(f(T_1, \dots, T_k))$ is defined as follows:

$$\begin{aligned} & - h(\alpha, w_1) \quad \text{if } f = h, k = 2, (\alpha =_{\mathbf{E}} T_1)\phi, (w =_{\mathbf{E}} T_2)\phi \\ & - h(\beta, w_2) \quad \text{if } f = h, k = 2, (\beta =_{\mathbf{E}} T_1)\phi, (w =_{\mathbf{E}} T_2)\phi \\ & - f(\text{split}_\phi(T_1), \dots, \text{split}_\phi(T_k)) \quad \text{otherwise} \end{aligned}$$

When $\text{dom}(\phi) = \emptyset$, we denote it as split_0 . In this case, the function split_0 is a replacement modulo \mathbf{E} as defined in [8]. Hence, we have the following lemma.

Lemma 5 ([8]) Let $h(\alpha, w_1)$ and $h(\beta, w_2)$ be two terms such that w_1, w_2 are different names and let w be another name. We have that

$$M =_{\mathbf{E}} N \Rightarrow \text{split}_0(M) =_{\mathbf{E}} \text{split}_0(N)$$

for any term M and N .

Lemma 6 Let $\phi_s = \nu\tilde{n}.\sigma_s$ be a frame with $w_1, w_2 \notin \tilde{n}$ and such that $\sigma_s =_{\mathbf{E}} \sigma\{h(\alpha, w_1)/w_1\}\{h(\beta, w_2)/w_2\}$ for some substitution σ . Let w be a fresh name. Let split be the splitting function w.r.t. $\phi_s\{w/w_1\}\{w/w_2\}$, $h(\alpha, w_1)$, $h(\beta, w_2)$ and w . Let split_0 be the splitting function w.r.t. $h(\alpha, w_1)$, $h(\beta, w_2)$ and w . Let M be a term such that $\text{fn}(M) \cap \tilde{n} = \emptyset$. We have that

$$\text{split}_0(M(\sigma_s\{w/w_1\}\{w/w_2\})) =_{\mathbf{E}} \text{split}(M)\sigma_s.$$

Proof. We prove this result by structural induction on M . If M is a name or a variable such that $M \notin \text{dom}(\phi_s)$, we have that

$$\text{split}_0(M(\sigma_s\{w/w_1\}\{w/w_2\})) = \text{split}(M)\sigma_s = M.$$

Now, assume that M is a variable, say x , such that $x \in \text{dom}(\phi_s)$ and let $T = x\sigma_s$. We have that $T =_{\mathbf{E}} T'\{h(\alpha, w_1)/w_1\}\{h(\beta, w_2)/w_2\}$ for some T' and w does not occur in T . Hence, we have that

$$\begin{aligned} \text{split}_0(x(\sigma_s\{w/w_1\}\{w/w_2\})) &= \text{split}_0(T\{w/w_1\}\{w/w_2\}) \\ &=_{\mathbf{E}} T \\ &= \text{split}(x)\sigma_s \end{aligned}$$

Now, we can deal with the induction step, i.e. $M = f(M_1, \dots, M_k)$. We distinguish three cases.

1. $f = h, k = 2, (M_1 =_{\mathbf{E}} \alpha)(\phi_s\{w/w_1\}\{w/w_2\})$ and $(M_2 =_{\mathbf{E}} w)(\phi_s\{w/w_1\}\{w/w_2\})$. In such a case, we have that $\text{split}(M)\sigma_s = h(\alpha, w_1)$. Moreover, since we have that $M_1(\sigma_s\{w/w_1\}\{w/w_2\}) =_{\mathbf{E}} \alpha$ and $M_2(\sigma_s\{w/w_1\}\{w/w_2\}) =_{\mathbf{E}} w$, we have that $\text{split}_0(M(\sigma_s\{w/w_1\}\{w/w_2\})) = h(\alpha, w_1)$.

2. $f = h, k = 2, (M_1 =_{\mathbf{E}} \beta)(\phi_s\{w/w_1\}\{w/w_2\})$, $(M_2 =_{\mathbf{E}} w)(\phi_s\{w/w_1\}\{w/w_2\})$. This case is similar to the previous one.

3. Otherwise, we have that $\text{split}(f(M_1, \dots, M_k)) = f(\text{split}(M_1), \dots, \text{split}(M_k))$. Hence, we have that

$$\begin{aligned} & \text{split}_0(M(\sigma_s\{w/w_1\}\{w/w_2\})) \\ &= f(\text{split}_0(M_1(\sigma_s\{w/w_1\}\{w/w_2\})), \dots, \\ & \quad \text{split}_0(M_k(\sigma_s\{w/w_1\}\{w/w_2\}))) \\ &=_{\mathbf{E}} f(\text{split}(M_1)\sigma_s, \dots, \text{split}(M_k)\sigma_s) \text{ by ind. hyp.} \\ &= f(\text{split}(M_1), \dots, \text{split}(M_k))\sigma_s \\ &= M\sigma_s \quad \square \end{aligned}$$

Now, we are able to prove Lemma 3.

Lemma 3 Let $\phi_1 = \nu\tilde{n}.\sigma_1$ and $\phi_2 = \nu\tilde{n}.\sigma_2$ be two frames such that $\phi_1 \approx \phi_2$, $w_1, w_2, \alpha, \beta \notin \tilde{n}$ and such that $\phi_i =_{\mathbf{E}} \phi'_i\{h(\alpha, w_1)/w_1\}\{h(\beta, w_2)/w_2\}$ for some frame ϕ'_i ($i = 1, 2$). Let w be a fresh name. We have that

$$\phi_1\{w/w_1\}\{w/w_2\} \approx \phi_2\{w/w_1\}\{w/w_2\}$$

Proof. To prove this, we have to show that for all terms M and N , we have

$$\begin{aligned} 1. & (M =_{\mathbf{E}} N)(\phi_1\{w/w_1\}\{w/w_2\}) \\ & \Rightarrow (M =_{\mathbf{E}} N)(\phi_2\{w/w_1\}\{w/w_2\}) \\ 2. & (M =_{\mathbf{E}} N)(\phi_2\{w/w_1\}\{w/w_2\}) \\ & \Rightarrow (M =_{\mathbf{E}} N)(\phi_1\{w/w_1\}\{w/w_2\}). \end{aligned}$$

Actually, it is sufficient to establish this result for all terms M and N such that $w_1, w_2 \notin \text{fn}(M) \cup \text{fn}(N)$ since w_1, w_2 do not occur neither in $\phi_1\{w/w_1\}\{w/w_2\}$ nor in $\phi_2\{w/w_1\}\{w/w_2\}$. Moreover, we can assume w.l.o.g. that $\tilde{n} \cap (\text{fn}(M) \cup \text{fn}(N)) = \emptyset$. We have that

- $\phi_1\{w/w_1\}\{w/w_2\} = \nu\tilde{n}.\sigma_1\{w/w_1\}\{w/w_2\}$, and
- $\phi_2\{w/w_1\}\{w/w_2\} = \nu\tilde{n}.\sigma_2\{w/w_1\}\{w/w_2\}$.

Let split be the splitting function w.r.t. $\phi_1\{w/w_1\}\{w/w_2\}$, $h(\alpha, w_1)$, $h(\beta, w_2)$ and w . Let split_0 be the splitting function w.r.t. $h(\alpha, w_1)$, $h(\beta, w_2)$, w . We show by induction on $\max(|M|, |N|)$ ¹ that

¹The size $|M|$ of a term M is defined by $|u| = 1$ when u is a name or a variable and $|f(M_1, \dots, M_k)| = 1 + \sum_{i=1}^k |M_i|$.

- $(\text{split}(M)\sigma_2)\{w/w_1\}\{w/w_2\} =_{\text{E}} M(\sigma_2\{w/w_1\}\{w/w_2\})$,
- $(M =_{\text{E}} N)(\phi_1\{w/w_1\}\{w/w_2\}) \Rightarrow (M =_{\text{E}} N)(\phi_2\{w/w_1\}\{w/w_2\})$.

Base case: $\max(|M|, |N|) = 1$. This means that M and N are names or variables.

- If M is a name or a variable such that $M \notin \text{dom}(\phi_2)$, we have that $(\text{split}(M)\sigma_2)\{w/w_1\}\{w/w_2\} = M$ since $w_1, w_2 \notin \text{fn}(M)$. Moreover, we have that $M(\sigma_2\{w/w_1\}\{w/w_2\}) = M$. If M is a variable, say x , such that $x \in \text{dom}(\phi_2)$, then we have that

$$\begin{aligned} (\text{split}(x)\sigma_2)\{w/w_1\}\{w/w_2\} &= (x\sigma_2)\{w/w_1\}\{w/w_2\} \\ &= x(\sigma_2\{w/w_1\}\{w/w_2\}). \end{aligned}$$

- The second point can be proved as follows:

$$\begin{aligned} &(M =_{\text{E}} N)(\phi_1\{w/w_1\}\{w/w_2\}) \\ \Rightarrow &M(\sigma_1\{w/w_1\}\{w/w_2\}) =_{\text{E}} N(\sigma_1\{w/w_1\}\{w/w_2\}) \\ \Rightarrow &\text{split}_0(M(\sigma_1\{w/w_1\}\{w/w_2\})) =_{\text{E}} \\ &\quad \text{split}_0(N(\sigma_1\{w/w_1\}\{w/w_2\})) \text{ by Lemma 5} \\ \Rightarrow &\text{split}(M)\sigma_1 =_{\text{E}} \text{split}(N)\sigma_1 \quad \text{by Lemma 6} \\ \Rightarrow &(\text{split}(M) =_{\text{E}} \text{split}(N))\phi_1 \\ \Rightarrow &(\text{split}(M) =_{\text{E}} \text{split}(N))\phi_2 \quad \text{since } \phi_1 \approx \phi_2 \\ \Rightarrow &(\text{split}(M)\sigma_2)\{w/w_1\}\{w/w_2\} =_{\text{E}} \\ &\quad (\text{split}(N)\sigma_2)\{w/w_1\}\{w/w_2\} \end{aligned}$$

Since, $|M| = |N| = 1$, we can apply our previous result to obtain that

- $(\text{split}(M)\sigma_2)\{w/w_1\}\{w/w_2\} =_{\text{E}} M(\sigma_2\{w/w_1\}\{w/w_2\})$,
 - $(\text{split}(N)\sigma_2)\{w/w_1\}\{w/w_2\} =_{\text{E}} N(\sigma_2\{w/w_1\}\{w/w_2\})$.
- This allows us to conclude that

$$M(\sigma_2\{w/w_1\}\{w/w_2\}) =_{\text{E}} N(\sigma_2\{w/w_1\}\{w/w_2\}),$$

and thus $(M =_{\text{E}} N)(\phi_2\{w/w_1\}\{w/w_2\})$.

Induction step: $\max(|M|, |N|) \geq 2$. We assume w.l.o.g. that $|M| \geq |N|$, thus $M = f(M_1, \dots, M_k)$.

- To establish the first point, we distinguish three cases:

1. $f = \mathbf{h}$, $k = 2$, $(M_1 =_{\text{E}} \alpha)(\phi_1\{w/w_1\}\{w/w_2\})$ and $(M_2 =_{\text{E}} w)(\phi_1\{w/w_1\}\{w/w_2\})$. In such a case, we have that $\text{split}(M) = \mathbf{h}(\alpha, w_1)$, hence we have that $(\text{split}(M)\sigma_2)\{w/w_1\}\{w/w_2\} = \mathbf{h}(\alpha, w)$. Since $|M_1| + |\alpha| < |M| + |N|$ and $|M_2| + |w| < |M| + |N|$, by induction hypothesis, we have that $(M_1 =_{\text{E}} \alpha)(\phi_2\{w/w_1\}\{w/w_2\})$ and also that $(M_2 =_{\text{E}} w)(\phi_2\{w/w_1\}\{w/w_2\})$.

Hence, we have that

$$\begin{aligned} &M(\sigma_2\{w/w_1\}\{w/w_2\}) \\ = &\mathbf{h}(M_1(\sigma_2\{w/w_1\}\{w/w_2\}), M_2(\sigma_2\{w/w_1\}\{w/w_2\})) \\ =_{\text{E}} &\mathbf{h}(\alpha, w) \end{aligned}$$

2. $f = \mathbf{h}$, $k = 2$, $(M_1 =_{\text{E}} \beta)(\phi_1\{w/w_1\}\{w/w_2\})$ and $(M_2 =_{\text{E}} w)(\phi_1\{w/w_1\}\{w/w_2\})$. This case is similar to the previous one.

3. Otherwise, $\text{split}(M) = f(\text{split}(M_1), \dots, \text{split}(M_k))$. Thus,

$$\begin{aligned} &(\text{split}(M)\sigma_2)\{w/w_1\}\{w/w_2\} \\ = &(f(\text{split}(M_1), \dots, \text{split}(M_k))\sigma_2)\{w/w_1\}\{w/w_2\} \\ = &f((\text{split}(M_1)\sigma_2)\{w/w_1\}\{w/w_2\}, \dots, \\ &\quad (\text{split}(M_k)\sigma_2)\{w/w_1\}\{w/w_2\}) \\ =_{\text{E}} &f(M_1(\sigma_2\{w/w_1\}\{w/w_2\}), \dots, \\ &\quad M_k(\sigma_2\{w/w_1\}\{w/w_2\})) \\ &\quad \text{by ind. hyp.} \\ = &f(M_1, \dots, M_k)(\sigma_2\{w/w_1\}\{w/w_2\}) \\ = &M(\sigma_2\{w/w_1\}\{w/w_2\}) \end{aligned}$$

- To prove the second point, we first establish, as in the base case, that $(M =_{\text{E}} N)(\phi_1\{w/w_1\}\{w/w_2\})$ implies that

$$(\text{split}(M)\sigma_2)\{w/w_1\}\{w/w_2\} =_{\text{E}} (\text{split}(N)\sigma_2)\{w/w_1\}\{w/w_2\}.$$

Now, thanks to our previous result, we have that

- $(\text{split}(M)\sigma_2)\{w/w_1\}\{w/w_2\} =_{\text{E}} M(\sigma_2\{w/w_1\}\{w/w_2\})$,
- $(\text{split}(N)\sigma_2)\{w/w_1\}\{w/w_2\} =_{\text{E}} N(\sigma_2\{w/w_1\}\{w/w_2\})$.

This allows us to conclude that

$$M(\sigma_2\{w/w_1\}\{w/w_2\}) =_{\text{E}} N(\sigma_2\{w/w_1\}\{w/w_2\}),$$

thus $(M =_{\text{E}} N)(\phi_2\{w/w_1\}\{w/w_2\})$.

The second implication, $(M =_{\text{E}} N)(\phi_2\{w/w_1\}\{w/w_2\})$ implies $(M =_{\text{E}} N)(\phi_1\{w/w_1\}\{w/w_2\})$ can be proved in a similar way. This allows us to conclude the proof. \square

A.2 Proof of Proposition 2

To establish this proposition, we need an additional lemma on static equivalence.

Lemma 7 *Let $\phi \equiv \nu w.\nu \tilde{n}.\sigma$ be a frame resistant to guessing attacks against w and \tilde{M} be a sequence of ground terms deducible from ϕ . Then we have that the frame $\nu w.\nu \tilde{n}.\sigma \mid \{\tilde{M}/\tilde{y}\}$ is also resistant to guessing attacks against w .*

Proof. We will first establish this result for a sequence of ground terms of length 1. Then, it is easy to generalize the result to a sequence of an arbitrary length. Let M be a ground term deducible from ϕ . We assume w.l.o.g. that $w' \notin \text{fn}(M)$. Let ζ be a recipe of M , i.e. a term such that $\text{fn}(\zeta) \cap \tilde{n} = \emptyset$ and $\zeta\sigma =_{\text{E}} M$. Moreover, we assume that $w, w' \notin \text{fn}(\zeta)$. By hypothesis, we have that $\nu \tilde{n}.\sigma \approx \nu \tilde{n}.\sigma\{w'/w\}$. Our goal is to show that

$$\nu \tilde{n}.\sigma \mid \{M/y\} \approx \nu \tilde{n}.\sigma \mid \{M/y\}\{w'/w\}.$$

Let U, V be two terms such that

- $(fn(U) \cup fn(V)) \cap \tilde{n} = \emptyset$, and
- $(U =_{\mathbb{E}} V)(\sigma \mid \{^M/y\})$.

Let $U' = U\{\zeta/y\}$ and $V' = V\{\zeta/y\}$. We have that $(fn(U') \cup fn(V')) \cap \tilde{n} = \emptyset$. Moreover, $U(\sigma \mid \{^M/y\}) =_{\mathbb{E}} U'\sigma$ and $V(\sigma \mid \{^M/y\}) =_{\mathbb{E}} V'\sigma$. Thanks to our hypothesis, we deduce that $(U' =_{\mathbb{E}} V')(\sigma\{w'/w\})$ and $(U\{\zeta/y\} =_{\mathbb{E}} V\{\zeta/y\})(\sigma\{w'/w\})$, i.e. $(U =_{\mathbb{E}} V)(\sigma \mid \{^M/y\})\{w'/w\}$. The other direction can be shown in a similar way. \square

Proposition 2 *Let A_1 and A_2 be two extended processes such that A_1 (resp. A_2) is resistant to guessing attack against w_1 (resp. w_2) and $A_1 \mid A_2$ is a process. We have that $A_1 \mid A_2$ is resistant to guessing attack against w_1, w_2 .*

Proof. We prove this result by contradiction. We have that $A_1 \equiv \nu w_1.\nu\tilde{n}_1.P_1$ and $A_2 \equiv \nu w_2.\nu\tilde{n}_2.P_2$ for some sequences of names \tilde{n}_1, \tilde{n}_2 and some plain processes P_1 and P_2 . Suppose that there exists a guessing attack on $A_1 \mid A_2$ against w_1, w_2 . This means that there exists two plain processes P'_1 and P'_2 and two substitutions σ_1 and σ_2 such that

- $A_1 \mid A_2 \rightarrow^* \nu w_1.\nu w_2.\nu\tilde{n}_1.\nu\tilde{n}_2.(P'_1 \mid P'_2 \mid \sigma_1 \mid \sigma_2)$,
- $\nu w_1.\nu w_2.\nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2)$ is not resistant to guessing attacks against w_1, w_2 ,

where $\sigma_1 = \{^{M_1}/x_1\} \mid \dots \mid \{^{M_p}/x_p\}$ and $\sigma_2 = \{^{N_1}/y_1\} \mid \dots \mid \{^{N_q}/y_q\}$. Intuitively, the active substitution in σ_1 comes from A_1 whereas those in σ_2 comes from A_2 .

Clearly, we have $A_1 \mid P_2 \rightarrow^* \nu w_1.\nu\tilde{n}_1.(P'_1 \mid P'_2 \mid \sigma_1 \mid \sigma_2)$ and hence $A_1 \rightarrow^* \nu w_1.\nu\tilde{n}_1.(P'_1 \mid \sigma_1)$. Similarly, $A_2 \rightarrow^* \nu w_2.\nu\tilde{n}_2.(P'_2 \mid \sigma_2)$. Since by hypothesis A_i is resistant to guessing attacks against w_i , we have that $\nu w_i.\nu\tilde{n}_i.\sigma_i$ is resistant to guessing attack against w_i for $i = 1$ and $i = 2$.

We have also that $\text{img}(\sigma_2)$ (resp. $\text{img}(\sigma_1)$) is a sequence of ground terms deducible from $\nu w_1.\nu\tilde{n}_1.\sigma_1$ (resp. $\nu w_2.\nu\tilde{n}_2.\sigma_2$). By applying Lemma 7, we obtain that $\nu w_i.\tilde{n}_i.(\sigma_1 \mid \sigma_2)$ is resistant to guessing attacks against w_i (for $i = 1$ and $i = 2$), i.e.

- $\nu\tilde{n}_1.(\sigma_1 \mid \sigma_2) \approx \nu\tilde{n}_1.(\sigma_1 \mid \sigma_2)\{w'_1/w_1\}$, and
- $\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2) \approx \nu\tilde{n}_2.(\sigma_1 \mid \sigma_2)\{w'_2/w_2\}$.

Thanks to Lemma 1 (item 1), we deduce that

- $\nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2) \approx \nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2)\{w'_1/w_1\}$,
- $\nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2) \approx \nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2)\{w'_2/w_2\}$.

By applying Lemma 1 (item 2) on the first equivalence, we obtain that

$$\begin{aligned} & \nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2)\{w'_2/w_2\} \\ & \approx \\ & \nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2)\{w'_1/w_1\}\{w'_2/w_2\} \end{aligned}$$

Then, by transitivity of \approx , we deduce that

$$\begin{aligned} & \nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2) \\ & \approx \\ & \nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2)\{w'_1/w_1\}\{w'_2/w_2\} \end{aligned}$$

which means that $\nu w_1.\nu w_2.\nu\tilde{n}_1.\nu\tilde{n}_2.(\sigma_1 \mid \sigma_2)$ is resistant to guessing attacks against w_1, w_2 . Hence we reach a contradiction which concludes the proof. \square

A.3 Proof of Proposition 3

The two following lemmas will be useful to deal with the cases of an input (Lemma 8) and a conditional (Lemma 9) in the proof of Proposition 3.

Lemma 8 *Let $\phi, \tilde{\phi}$ and ϕ' be three frames such that w does not occur in $\tilde{\phi}$ and α, β are free names. Moreover, we assume that*

- $\phi\{w/w_1\}\{w/w_2\} = \tilde{\phi}$, and
- $\phi'\{h(\alpha, w_1)/w_1\}\{h(\beta, w_2)/w_2\} =_{\mathbb{E}} \phi$.

If $\nu w.\tilde{\phi} \vdash_{\mathbb{E}} \tilde{M}$ and $w_1, w_2 \notin fn(\tilde{M})$ then there exist M, M' such that $M\{w/w_1\}\{w/w_2\} = \tilde{M}$, $M'\{h(\alpha, w_1)/w_1\}\{h(\beta, w_2)/w_2\} =_{\mathbb{E}} M$, $\nu w_1.\nu w_2.\phi \vdash_{\mathbb{E}} M$.

Proof. Let $\phi = \nu\tilde{n}.\sigma$ for some sequence of names \tilde{n} and some substitution σ . We have that

- $\tilde{\phi} = \nu\tilde{n}.\tilde{\sigma}$ where $\tilde{\sigma} = \sigma\{w/w_1\}\{w/w_2\}$,
- $\phi' = \nu\tilde{n}.\sigma'$ where $\sigma'\{h(\alpha, w_1)/w_1\}\{h(\beta, w_2)/w_2\} =_{\mathbb{E}} \sigma$.

Let \tilde{M} be a term such that $\nu w.\tilde{\phi} \vdash_{\mathbb{E}} \tilde{M}$ and $w_1, w_2 \notin fn(\tilde{M})$. This means that there exists a term ζ such that $fn(\zeta) \cap (\tilde{n} \cup \{w, w_1, w_2\}) = \emptyset$ and $\zeta\tilde{\sigma} =_{\mathbb{E}} \tilde{M}$. Let $M' = \zeta\sigma'$ and $M = \text{split}_0(\tilde{M})$ where split_0 is the splitting function w.r.t. $h(\alpha, w_1)$, $h(\beta, w_2)$ and w . Clearly, we have that $M\{w/w_1\}\{w/w_2\} = \tilde{M}$. By hypothesis, we have that $\zeta\tilde{\sigma} =_{\mathbb{E}} \tilde{M}$. Thus, thanks to Lemma 5, we have $\text{split}_0(\zeta\tilde{\sigma}) =_{\mathbb{E}} \text{split}_0(\tilde{M}) = M$. Now, thanks to Lemma 6, we deduce that $\text{split}(\zeta)\sigma =_{\mathbb{E}} M$ where split is the splitting function w.r.t. $\tilde{\phi}$, $h(\alpha, w_1)$, $h(\beta, w_2)$ and w . Actually, since $\phi'\{h(\alpha, w_1)/w_1\}\{h(\beta, w_2)/w_2\} =_{\mathbb{E}} \phi$ and $\tilde{\phi} = \phi\{w/w_1\}\{w/w_2\}$, we have that w is not deducible

from $\nu w.\tilde{\phi}$. This allows us to show that $\text{split}(\zeta) = \zeta$. Hence, we have that $\zeta\sigma =_{\text{E}} M$. Lastly, we have that

$$\begin{aligned} & M' \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} \\ &= (\zeta\sigma') \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} \\ &=_{\text{E}} \zeta\sigma \\ &=_{\text{E}} M. \end{aligned}$$

This allows us to conclude the proof. \square

Lemma 9 *Let M_1, M_2, \tilde{M}_1 and \tilde{M}_2 be four terms such that*

- $\tilde{M}_i = M_i \{w/w_1\} \{w/w_2\}$ for $i = 1, 2$, and
- $M'_i \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} M_i$ for some term M'_i , ($i = 1, 2$).

Then, we have $M_1 =_{\text{E}} M_2$ if and only if $\tilde{M}_1 =_{\text{E}} \tilde{M}_2$.

Proof. As $=_{\text{E}}$ is closed under substitution of terms for names $M_1 =_{\text{E}} M_2$ implies $\tilde{M}_1 =_{\text{E}} \tilde{M}_2$. Now, let M_1 and M_2 be two terms such that $\tilde{M}_1 =_{\text{E}} \tilde{M}_2$ where $\tilde{M}_i = M_i \{w/w_1\} \{w/w_2\}$ for $i = 1, 2$. Thus, according to Lemma 5, we have that

$$\text{split}_0(M_1 \{w/w_1\} \{w/w_2\}) =_{\text{E}} \text{split}_0(M_2 \{w/w_1\} \{w/w_2\})$$

where split_0 represents the splitting function w.r.t. $h(\alpha, w_1)$, $h(\beta, w_2)$ and w . Now, it is easy to establish, by structural induction on M_i ($i = 1, 2$) and by relying on the fact that $M'_i \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} M_i$ for some M'_i , that $\text{split}_0(M_i \{w/w_1\} \{w/w_2\}) =_{\text{E}} M_i$. This allows us to conclude. \square

We will prove Proposition 3 by induction on the proof tree witnessing the derivation. Since \rightarrow is closed by structural equivalence, we have first to establish a similar result for \equiv .

Lemma 10 *Let A be an extended process with no occurrence of w in it and such that $w_1, w_2, \alpha, \beta \notin \text{bn}(A)$ and $A' \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} A$ for some A' . Suppose that $A \{w/w_1\} \{w/w_2\} \equiv \bar{B}$ for some process \bar{B} . Then there exist some processes B and B' such that*

- $\bar{B} = B \{w/w_1\} \{w/w_2\}$ with no occurrence of w in B , and
- $B' \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} B$, and
- $A \equiv B$.

Proof. Let $\bar{A} = A \{w/w_1\} \{w/w_2\}$. We prove this result by induction on the proof tree showing that $\bar{A} \equiv \bar{B}$. All the base cases are easy to prove. The only interesting inductive case is the case of an application of an

evaluation context. Suppose that the proof tree showing that $\bar{A} \equiv \bar{B}$ ends with an instance of such a rule, i.e.

$$\frac{\bar{A}_1 \equiv \bar{B}_1}{\bar{C}[\bar{A}_1] \equiv \bar{C}[\bar{B}_1]}$$

where $\bar{A} = \bar{C}[\bar{A}_1]$ and $\bar{B} = \bar{C}[\bar{B}_1]$. As $\bar{A} = A \{w/w_1\} \{w/w_2\}$ we have that there exist A_1, C such that $A_1 \{w/w_1\} \{w/w_2\} = \bar{A}_1$ and $C \{w/w_1\} \{w/w_2\} = \bar{C}$. Moreover there exists A' such that $A' \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} A = C[A_1]$. Hence there also exist C', A'_1 such that $C' \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} C$ and $A'_1 \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} A_1$. We can therefore apply our induction hypothesis and we obtain that there exist processes B_1, B'_1 such that

- $\bar{B}_1 = B_1 \{w/w_1\} \{w/w_2\}$;
- $B'_1 \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} B_1$;
- $A_1 \equiv B_1$.

Let $B = C[B_1]$ and $B' = C'[B'_1]$. We indeed have that

- $\bar{B} = \bar{C}[\bar{B}_1] = (C \{w/w_1\} \{w/w_2\}) [B_1 \{w/w_1\} \{w/w_2\}] = B \{w/w_1\} \{w/w_2\}$
- $B' \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} = C' [B'_1 \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\}] =_{\text{E}} C[B_1] = B$
- $A = C[A_1] \equiv C[B_1] = B$. \square

Proposition 3 *Let A be an extended process with no occurrence of w in it and such that $w_1, w_2, \alpha, \beta \notin \text{bn}(A)$ and $A' \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} A$ for some A' .*

Let \bar{B} be such that $\nu w.(A \{w/w_1\} \{w/w_2\}) \xrightarrow{\ell} \bar{B}$. Moreover, when $\ell = \text{in}(\tilde{M})$ we assume that $w_1, w_2 \notin \text{fn}(\tilde{M})$. Then there exists B and B' such that

- $\bar{B} \equiv \nu w.(B \{w/w_1\} \{w/w_2\})$ with no occurrence of w in B , and
- $B' \{h(\alpha, w_1)/w_1\} \{h(\beta, w_2)/w_2\} =_{\text{E}} B$, and
- $\nu w_1.\nu w_2.A \rightarrow \nu w_1.\nu w_2.B$.

Proof. We have $\nu w.(A \{w/w_1\} \{w/w_2\}) \rightarrow \bar{B}$. It is easy to see that $w \in \text{bn}(\bar{B})$. Indeed, according to our calculus, we can always by using structural equivalence move a restriction in front of the process. Thus we have that $\bar{B} \equiv \nu w.\tilde{B}$ for some process \tilde{B} . Let ℓ be the label involved in $\nu w.(A \{w/w_1\} \{w/w_2\}) \rightarrow \bar{B}$. It is easy to see that $A \{w/w_1\} \{w/w_2\} \xrightarrow{\ell} \tilde{B}$ and when $\ell = \text{in}(\tilde{M})$, we have $\nu w.(\phi(A) \{w/w_1\} \{w/w_2\}) \vdash_{\text{E}} \tilde{M}$. Moreover, by hypothesis, we have $w_1, w_2 \notin \text{fn}(\tilde{M})$. By Lemma 8, we deduce that $\nu w_1.\nu w_2.\phi(A) \vdash_{\text{E}} M$ for some M such that

$M\{^w/w_1\}\{^w/w_2\} = \tilde{M}$ and we also know that there exists M' such that $M'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} M$. This allows us, in particular, to ensure that, in the case of an input, the side condition corresponding to an application of evaluation context is satisfied. Now, we show by induction on the proof tree showing that $A\{^w/w_1\}\{^w/w_2\} \rightarrow \tilde{B}$ that there exist B, B' such that

- $\tilde{B} = B\{^w/w_1\}\{^w/w_2\}$ with no occurrence of w in B , and
- $B'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\beta,w_2)}/w_2\} =_{\mathbb{E}} B$, and
- $A \rightarrow B$

This will allow us to conclude that $\nu w_1, w_2. A \rightarrow \nu w_1, \nu w_2. B$.

Base cases.

- IN. In such a case, we have $A\{^w/w_1\}\{^w/w_2\} = \text{in}(x).\tilde{P}$ and $\tilde{B} = \tilde{P}\{\tilde{M}/x\}$ for some process \tilde{P} and some term \tilde{M} . From this, we deduce that $A = \text{in}(x).P$ for some process P such that $P\{^w/w_1\}\{^w/w_2\} = \tilde{P}$. We have also that

$$A = \text{out}(M).P =_{\mathbb{E}} A'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\}.$$

Thus, there exists P' such that $P'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} P$. Moreover, we have already seen that there exists M and M' such that

- $M\{^w/w_1\}\{^w/w_2\} = \tilde{M}$, and
- $M'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} = M$.

Let $B = P\{^M/x\}$ and $B' = P'\{^{M'}/x\}$. It is easy to check that the three conditions hold.

- OUT. In such a case, we have $A\{^w/w_1\}\{^w/w_2\} = \text{out}(\tilde{M}).\tilde{P}$ and $\tilde{B} = \tilde{P}\{\tilde{M}/x\}$ for some process \tilde{P} and some term \tilde{M} . From this, we deduce that $A = \text{out}(M).P$ for some term M and some process P such that $M\{^w/w_1\}\{^w/w_2\} = \tilde{M}$, and $P\{^w/w_1\}\{^w/w_2\} = \tilde{P}$. We have also that

$$A = \text{out}(M).P =_{\mathbb{E}} A'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\}.$$

Thus, there exist M' and P' such that $M'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} M$ and $P'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} P$. Let $B = P\{^M/x\}$ and $B' = P'\{^{M'}/x\}$. It is easy to check that the three conditions hold.

- THEN. In such a case, we have $A\{^w/w_1\}\{^w/w_2\} =$ if $\tilde{M}_1 = \tilde{M}_2$ then \tilde{P} else \tilde{Q} for some terms \tilde{M}_1 and \tilde{M}_2 and some processes \tilde{P} and \tilde{Q} such that

$\tilde{M}_1 =_{\mathbb{E}} \tilde{M}_2$ and $\tilde{B} = \tilde{P}$. From this, we deduce that $A =$ if $M_1 = M_2$ then P else Q for some terms M_1, M_2 and some processes P, Q such that $M_i\{^w/w_1\}\{^w/w_2\} = M_i$ ($i = 1, 2$), $P\{^w/w_1\}\{^w/w_2\} = \tilde{P}$, and $Q\{^w/w_1\}\{^w/w_2\} = \tilde{Q}$. We have also that

$$A = \text{if } M_1 = M_2 \text{ then } P \\ \text{else } Q =_{\mathbb{E}} A'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\}.$$

Thus, there exist M'_1, M'_2, P' and Q' such that $M'_i\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} M_i$ ($i = 1, 2$), $P'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} P$ and $Q'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} Q$. Let $B = P$ and $B' = P'$. It is easy to see that the two first conditions hold. For the last one, we have to show that $M_1 =_{\mathbb{E}} M_2$. This can be easily done thanks to Lemma 9.

- ELSE. This case is similar to the previous one.

Inductive cases. The inductive case corresponding to application of structural equivalence directly follows from Lemma 10. It remains to show the case of an application of an evaluation context. In such a case, we have $A\{^w/w_1\}\{^w/w_2\} \rightarrow \tilde{B}$ finishes by an application of the following rule

$$\frac{\tilde{A}_1 \rightarrow \tilde{B}_1}{\tilde{C}[\tilde{A}_1] \rightarrow \tilde{C}[\tilde{B}_1]}$$

where $A\{^w/w_1\}\{^w/w_2\} = \tilde{C}[\tilde{A}_1]$ and $\tilde{B} = \tilde{C}[\tilde{B}_1]$. From this, we deduce that $A = C[A_1]$ for some context C and some process A_1 such that $C\{^w/w_1\}\{^w/w_2\} = \tilde{C}$ and $A_1\{^w/w_1\}\{^w/w_2\} = \tilde{A}_1$. We have $A = C[A_1] =_{\mathbb{E}} A'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\}$. Thus, there exist C' and A'_1 such that $C'\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} C$, and $A'_1\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} A_1$. Hence we can apply our induction hypothesis to obtain that there exist B'_1 and B_1 such that

- $\tilde{B}_1 \equiv B_1\{^w/w_1\}\{^w/w_2\}$, and
- $B'_1\{^{h(\alpha,w_1)}/w_1\}\{^{h(\alpha,w_2)}/w_2\} =_{\mathbb{E}} B_1$, and
- $A_1 \rightarrow B_1$.

Let $B = C[B_1]$ and $B' = C'[B'_1]$. The three conditions hold and this allows us to conclude the proof. \square

B Transformation

B.1 Proof of Lemma 4

The goal of this section is to prove Lemma 4. Before to prove it, we introduce the following cutting function.

Definition 7 Given a frame ϕ , a term $U = \mathbf{h}(U_1, U_2)$ and a name a , the cutting function cut w.r.t. ϕ, U and a is defined recursively as $\text{cut}_\phi(u) = u$ when u is a name or a variable and $\text{cut}_\phi(f(T_1, \dots, T_k))$ is defined as follows:

- a if $f = \mathbf{h}$, $k = 2$, $(U_1 =_{\mathbf{E}} T_1)\phi$ and $(U_2 =_{\mathbf{E}} T_2)\phi$
- $f(\text{cut}_\phi(T_1), \dots, \text{cut}_\phi(T_k))$ otherwise

When $\text{dom}(\phi) = \emptyset$, we denote it at cut_0 . In this case, the function cut_0 is a replacement modulo \mathbf{E} as defined in [8]. Hence, we have the following lemma.

Lemma 11 Let $U = \mathbf{h}(U_1, U_2)$ be a term and a be a name. We have that:

$$M =_{\mathbf{E}} N \Rightarrow \text{cut}_0(M) =_{\mathbf{E}} \text{cut}_0(N) \text{ for any term } M, N.$$

Lemma 12 Let $\phi =_\alpha \nu \tilde{n}.\sigma$ be a frame. Let w, \bar{w} and α be three names such that $w, \alpha \notin \tilde{n}$ and \bar{w} is a fresh name. Let cut be the cutting function w.r.t. $\phi\{\mathbf{h}(\alpha, \bar{w})/w\}$, $\mathbf{h}(\alpha, \bar{w})$, w and cut_0 be the cutting function w.r.t. $\mathbf{h}(\alpha, \bar{w})$ and w . Let M be a term such that $\text{fn}(M) \cap \tilde{n} = \emptyset$. We have that

$$\text{cut}_0(M(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\})) = \text{cut}(M)\sigma.$$

Proof. We prove this result by structural induction on M . If M is a name or a variable such that $M \notin \text{dom}(\phi)$, we have that

$$\text{cut}_0(M(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\})) = \text{cut}(M)\sigma = M.$$

Now, assume that M is a variable, say x , such that $x \in \text{dom}(\phi)$. Let $T = x\sigma$. Note that \bar{w} does not occur in T since \bar{w} is fresh w.r.t. σ . Hence, we have that²:

$$\begin{aligned} \text{cut}_0(M(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\})) &= \text{cut}_0(T\{\mathbf{h}(\alpha, \bar{w})/w\}) \\ &= T \\ &= x\sigma \\ &= \text{cut}(M)\sigma. \end{aligned}$$

Now, we can deal with the induction step:
 $M = f(M_1, \dots, M_k)$. We distinguish two cases:

1. $f = \mathbf{h}$, $k = 2$, $(M_1 =_{\mathbf{E}} \alpha)(\phi\{\mathbf{h}(\alpha, \bar{w})/w\})$ and $(M_2 =_{\mathbf{E}} \bar{w})(\phi\{\mathbf{h}(\alpha, \bar{w})/w\})$. In such a case, we have that $\text{cut}(M)\sigma = w$. Moreover, we have also that $M_1\sigma\{\mathbf{h}(\alpha, \bar{w})/w\} =_{\mathbf{E}} \alpha$ and $M_2\sigma\{\mathbf{h}(\alpha, \bar{w})/w\} =_{\mathbf{E}} \bar{w}$. Hence, we have that

$$\begin{aligned} &\text{cut}_0(M(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\})) \\ &= \text{cut}_0(\mathbf{h}(M_1(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\}), M_2(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\}))) \\ &= w. \end{aligned}$$

²The second step can be easily shown by structural induction on T .

2. Otherwise, we have that $\text{cut}(f(M_1, \dots, M_k)) = f(\text{cut}(M_1), \dots, \text{cut}(M_k))$ and

$$\begin{aligned} &\text{cut}_0(M(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\})) = \\ &f(\text{cut}_0(M_1(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\})), \dots, \text{cut}_0(M_k(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\}))). \end{aligned}$$

Indeed, otherwise we will have that $f = \mathbf{h}$, $(M_1 =_{\mathbf{E}} \alpha)(\phi\{\mathbf{h}(\alpha, \bar{w})/w\})$ and also that $(M_2 =_{\mathbf{E}} \bar{w})(\phi\{\mathbf{h}(\alpha, \bar{w})/w\})$. This situation corresponds to our first case. Hence, we have that

$$\begin{aligned} &\text{cut}_0(M(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\})) \\ &= f(\text{cut}_0(M_1(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\})), \dots, \\ &\quad \text{cut}_0(M_k(\sigma\{\mathbf{h}(\alpha, \bar{w})/w\}))) \\ &= f(\text{cut}(M_1)\sigma, \dots, \text{cut}(M_k)\sigma) \\ &\quad \text{by induction hypothesis} \\ &= f(\text{cut}(M_1), \dots, \text{cut}(M_k))\sigma \\ &= \text{cut}(M)\sigma \quad \square \end{aligned}$$

Lemma 4 Let ϕ_1 and ϕ_2 be two frames such that $\phi_1 \approx \phi_2$. Let w, α be such that $w, \alpha \notin \text{bn}(\phi_1) \cup \text{bn}(\phi_2)$. We have that

$$\phi_1\{\mathbf{h}(\alpha, w)/w\} \approx \phi_2\{\mathbf{h}(\alpha, w)/w\}.$$

Proof. We will show that $\phi_1\{\mathbf{h}(\alpha, \bar{w})/w\} \approx \phi_2\{\mathbf{h}(\alpha, \bar{w})/w\}$ for some fresh names \bar{w} . This will allow us to conclude that $\phi_1\{\mathbf{h}(\alpha, w)/w\} \approx \phi_2\{\mathbf{h}(\alpha, w)/w\}$ by simply renaming \bar{w} with w Lemma 1 (item 2). For this we have to show that for all terms M and N

1. $(M =_{\mathbf{E}} N)\phi_1\{\mathbf{h}(\alpha, \bar{w})/w\} \Rightarrow (M =_{\mathbf{E}} N)\phi_2\{\mathbf{h}(\alpha, \bar{w})/w\}$, and
2. $(M =_{\mathbf{E}} N)\phi_2\{\mathbf{h}(\alpha, \bar{w})/w\} \Rightarrow (M =_{\mathbf{E}} N)\phi_1\{\mathbf{h}(\alpha, \bar{w})/w\}$.

Actually, it is sufficient to establish this result for all terms M and N such that $w \notin \text{fn}(M) \cup \text{fn}(N)$ since w does not occur in $\phi_1\{\mathbf{h}(\alpha, \bar{w})/w\}$ and $\phi_2\{\mathbf{h}(\alpha, \bar{w})/w\}$. Let σ_1 and σ_2 be two substitutions such that $\phi_1 =_\alpha \nu \tilde{n}_1.\sigma_1$ and $\phi_2 =_\alpha \nu \tilde{n}_2.\sigma_2$ for some sequences of names \tilde{n}_1 and \tilde{n}_2 such that $(\text{fn}(M) \cup \text{fn}(N)) \cap (\tilde{n}_1 \cup \tilde{n}_2) = \emptyset$. Moreover, we can assume that $w, \bar{w}, \alpha \notin \tilde{n}_1 \cup \tilde{n}_2$. Hence, we have that

- $\phi_1\{\mathbf{h}(\alpha, \bar{w})/w\} =_\alpha \nu \tilde{n}_1.\sigma_1\{\mathbf{h}(\alpha, \bar{w})/w\}$, and
- $\phi_2\{\mathbf{h}(\alpha, \bar{w})/w\} =_\alpha \nu \tilde{n}_2.\sigma_2\{\mathbf{h}(\alpha, \bar{w})/w\}$.

Let cut be the cutting function w.r.t. $\phi_1\{\mathbf{h}(\alpha, \bar{w})/w\}$, $\mathbf{h}(\alpha, \bar{w})$ and w , and cut_0 be the cutting function w.r.t. $\mathbf{h}(\alpha, \bar{w})$ and w . We show by induction on $\max(|M|, |N|)^3$ that

³The size $|M|$ of a term M is defined by $|u| = 1$ when u is a name or a variable and $|f(M_1, \dots, M_k)| = 1 + \sum_{i=1}^k |M_i|$.

- $(\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} =_{\text{E}} M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})$, and
- $(M =_{\text{E}} N)(\phi_1\{\text{h}(\alpha, \bar{w})/w\}) \Rightarrow (M =_{\text{E}} N)(\phi_2\{\text{h}(\alpha, \bar{w})/w\})$.
- Otherwise, $\text{cut}(M) = f(\text{cut}(M_1), \dots, \text{cut}(M_k))$. Thus,

$$\begin{aligned}
& (\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} \\
&= (f(\text{cut}(M_1), \dots, \text{cut}(M_k))\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} \\
&= f((\text{cut}(M_1)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\}, \dots, \\
&\quad (\text{cut}(M_k)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\}) \\
&=_{\text{E}} f(M_1(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}), \dots, M_k(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})) \\
&\quad \text{by induction hypothesis} \\
&= f(M_1, \dots, M_k)(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}) \\
&= M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})
\end{aligned}$$

Base case: $\max(|M|, |N|) = 1$

• If M is a name (note that $M \neq w$) or a variable such that $M \notin \text{dom}(\phi_2)$, we have that $(\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} = M$ and $M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}) = M$. If M is a variable, say x , such that $x \in \text{dom}(\phi_2)$, then we have that

$$\begin{aligned}
(\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} &= (x\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} \\
&= x(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}) \\
&= M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}).
\end{aligned}$$

- The second point can be proved as follows:

$$\begin{aligned}
& (M =_{\text{E}} N)(\phi_1\{\text{h}(\alpha, \bar{w})/w\}) \\
&\Rightarrow M(\sigma_1\{\text{h}(\alpha, \bar{w})/w\}) =_{\text{E}} N(\sigma_1\{\text{h}(\alpha, \bar{w})/w\}) \\
&\Rightarrow \text{cut}_0(M(\sigma_1\{\text{h}(\alpha, \bar{w})/w\})) =_{\text{E}} \text{cut}_0(N(\sigma_1\{\text{h}(\alpha, \bar{w})/w\})) \\
&\quad \text{by Lemma 11} \\
&\Rightarrow \text{cut}(M)\sigma_1 =_{\text{E}} \text{cut}(N)\sigma_1 \quad \text{by Lemma 12} \\
&\Rightarrow (\text{cut}(M) =_{\text{E}} \text{cut}(N))\phi_1 \\
&\Rightarrow (\text{cut}(M) =_{\text{E}} \text{cut}(N))\phi_2 \quad \text{since } \phi_1 \approx \phi_2 \\
&\Rightarrow \text{cut}(M)\sigma_2 =_{\text{E}} \text{cut}(N)\sigma_2 \\
&\Rightarrow (\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} =_{\text{E}} (\text{cut}(N)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\}
\end{aligned}$$

The last step comes from the fact that $=_{\text{E}}$ is closed by substitutions of terms for names. Since, $|M| = |N| = 1$, we can apply our previous result to obtain that

- $(\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} =_{\text{E}} M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})$, and
- $(\text{cut}(N)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} =_{\text{E}} N(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})$.

This allows us to conclude that $M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}) =_{\text{E}} N(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})$, and thus $(M =_{\text{E}} N)(\phi_2\{\text{h}(\alpha, \bar{w})/w\})$.

Induction step: $\max(|M|, |N|) \geq 2$. We assume w.l.o.g. that $|M| \geq |N|$. Hence, we have that $M = f(M_1, \dots, M_k)$.

• To establish the first point, we distinguish two cases:

- $f = \text{h}$, $k = 2$, $(M_1 =_{\text{E}} \alpha)(\phi_1\{\text{h}(\alpha, \bar{w})/w\})$ and $(M_2 =_{\text{E}} \bar{w})(\phi_1\{\text{h}(\alpha, \bar{w})/w\})$. In such a case, we have that $\text{cut}(M) = w$, thus $(\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} = \text{h}(\alpha, \bar{w})$. Since $|M_1| + |\alpha| < |M| + |N|$ and $|M_2| + |\bar{w}| < |M| + |N|$, we have that $(M_1 =_{\text{E}} \alpha)(\phi_2\{\text{h}(\alpha, \bar{w})/w\})$ and $(M_2 =_{\text{E}} \bar{w})(\phi_2\{\text{h}(\alpha, \bar{w})/w\})$.

Hence, we have that

$$\begin{aligned}
& M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}) \\
&= \text{h}(M_1(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}), M_2(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})) \\
&=_{\text{E}} \text{h}(\alpha, \bar{w})
\end{aligned}$$

- To prove the second point, it is easy to establish (as in the base case) that

$$\begin{aligned}
& (M =_{\text{E}} N)(\phi_1\{\text{h}(\alpha, \bar{w})/w\}) \\
&\Rightarrow (\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} =_{\text{E}} (\text{cut}(N)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\}
\end{aligned}$$

Thanks to our previous result, we have that

- $(\text{cut}(M)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} =_{\text{E}} M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})$, and
- $(\text{cut}(N)\sigma_2)\{\text{h}(\alpha, \bar{w})/w\} =_{\text{E}} N(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})$.

This allows us to conclude that $M(\sigma_2\{\text{h}(\alpha, \bar{w})/w\}) =_{\text{E}} N(\sigma_2\{\text{h}(\alpha, \bar{w})/w\})$, and thus $(M =_{\text{E}} N)(\phi_2\{\text{h}(\alpha, \bar{w})/w\})$.

The 2nd implication, i.e.

$$(M =_{\text{E}} N)(\phi_2\{\text{h}(\alpha, \bar{w})/w\}) \Rightarrow (M =_{\text{E}} N)(\phi_1\{\text{h}(\alpha, \bar{w})/w\}),$$

can be proved in a similar way. This allows us to conclude the proof. \square

B.2 Proof of Proposition 4

The two following lemmas will be useful to deal with the cases of an input (Lemma 13) and a conditional (Lemma 14) in the proof of Proposition 4.

Lemma 13 *Let ϕ be a frame such that $w \notin \text{bn}(\phi)$ and $\phi'\{\text{h}(\alpha, w)/w\} =_{\text{E}} \phi$ for some ϕ' . If $\nu w.\phi \vdash_{\text{E}} M$ then there exists M' such that $M'\{\text{h}(\alpha, w)/w\} =_{\text{E}} M$ and $\nu w.\phi' \vdash_{\text{E}} M'$.*

Proof. Let $\phi = \nu \tilde{n}.\sigma$ and $\phi' = \nu \tilde{n}.\sigma'$ for some sequence of names \tilde{n} and some substitutions σ and σ' . We have that $\sigma'\{\text{h}(\alpha, w)/w\} =_{\text{E}} \sigma$. Let M be such that $\nu w.\phi \vdash_{\text{E}} M$, i.e. there exists ζ such that $\text{fn}(\zeta) \cap (\tilde{n} \cup \{w\}) = \emptyset$ and $\zeta\sigma =_{\text{E}} M$. Let $M' = \zeta\sigma'$. We have that $\nu w.\phi' \vdash_{\text{E}} M'$ and also that

$$\begin{aligned}
M'\{\text{h}(\alpha, w)/w\} &= (\zeta\sigma')\{\text{h}(\alpha, w)/w\} \\
&= \zeta(\sigma'\{\text{h}(\alpha, w)/w\}) \\
&=_{\text{E}} \zeta\sigma =_{\text{E}} M.
\end{aligned}$$

This allows us to conclude. \square

Lemma 14 *Let M, N, M' and N' be four terms such that $M =_{\mathbb{E}} M'\{h(\alpha, w)/w\}$ and $N =_{\mathbb{E}} N'\{h(\alpha, w)/w\}$. Then, we have $M =_{\mathbb{E}} N$ if, and only if, $M' =_{\mathbb{E}} N'$.*

Proof. As $=_{\mathbb{E}}$ is closed by substitutions of terms for names $M' =_{\mathbb{E}} N'$ implies $M =_{\mathbb{E}} N$. Now, let M and N be two terms such that $M =_{\mathbb{E}} N$. We have that $M'\{h(\alpha, w)/w\} =_{\mathbb{E}} N'\{h(\alpha, w)/w\}$. Thus, according to Lemma 11, we have that

$$\text{cut}_0(M'\{h(\alpha, w)/w\}) =_{\mathbb{E}} \text{cut}_0(N'\{h(\alpha, w)/w\})$$

where cut_0 represents the cutting function w.r.t. $h(\alpha, w)$ and w . Now, it is easy to establish, by structural induction on M' that $\text{cut}_0(M'\{h(\alpha, w)/w\}) = M'$. This allows us to conclude. \square

We will prove Proposition 4 by induction on the proof tree witnessing the derivation. Since \rightarrow is closed by structural equivalence, we have first to establish a similar result for \equiv .

Lemma 15 *Let A be a process such that $w \notin \text{bn}(A)$ and $A'\{h(\alpha, w)/w\} =_{\mathbb{E}} A$ for some A' . Suppose that $A \equiv B$ for some process B . Then $w \notin \text{bn}(B)$ and there exists a process B' such that $B'\{h(\alpha, w)/w\} =_{\mathbb{E}} B$ and $A' \equiv B'$.*

Proof. We prove this result by induction on the proof tree showing that $A \equiv B$. All the base cases are easy to prove. The only interesting inductive case is the case of an application of an evaluation context. Suppose that the proof tree showing that $A \equiv B$ ends with an instance of such a rule, i.e.

$$\frac{A_1 \equiv B_1}{C[A_1] \equiv C[B_1]}$$

where $A = C[A_1]$ and $B = C[B_1]$. By hypothesis, we know that there exists A' such that $A'\{h(\alpha, w)/w\} =_{\mathbb{E}} C[A_1]$. Hence we have that $A' = C'[A'_1]$ where $C'\{h(\alpha, w)/w\} =_{\mathbb{E}} C$ and $A'_1\{h(\alpha, w)/w\} =_{\mathbb{E}} A_1$ for some evaluation context C' and some process A'_1 . Hence we can apply our induction hypothesis and we obtain that $w \notin \text{bn}(B_1)$ and there exists B'_1 such that $B'_1\{h(\alpha, w)/w\} =_{\mathbb{E}} B_1$, and $A'_1 \equiv B'_1$. We have that $w \notin \text{bn}(C[B_1])$. Let $B' = C'[B'_1]$. We have that $(C'[B'_1])\{h(\alpha, w)/w\} =_{\mathbb{E}} C[B_1] = B$ and $A' \equiv B'$. \square
Now, we can prove the following proposition.

Proposition 4 *Let A be a process with $w, \alpha \notin \text{bn}(A)$ and $A'\{h(\alpha, w)/w\} =_{\mathbb{E}} A$ for some A' . If $\nu w.A \rightarrow \overline{B}$, then $\overline{B} \equiv \nu w.B$ and there exists a process B' such that $B'\{h(\alpha, w)/w\} =_{\mathbb{E}} B$ and $\nu w.A' \rightarrow \nu w.B'$.*

Proof. We have that $\nu w.A \rightarrow \overline{B}$ and it is easy to see that $w \in \text{bn}(\overline{B})$. According to our calculus, we can always by using structural equivalence move a restriction in front of the process, thus we have that

$\overline{B} \equiv \nu w.B$ for some process B . Let ℓ be the label involved in the transition $\nu w.A \rightarrow \overline{B}$. It is easy to see that $A \xrightarrow{\ell} B$ and when $\ell = \text{in}(M)$, we have that $\nu w.\phi(A) \vdash_{\mathbb{E}} M$. As $\nu w.\phi(A) \vdash_{\mathbb{E}} M$, by Lemma 13, we have that $\nu w.\phi(A') \vdash_{\mathbb{E}} M'$ for some M' such that $M'\{h(\alpha, w)/w\} =_{\mathbb{E}} M$. This allows us to ensure that, in the case of an input, the side condition corresponding to an application of evaluation context is satisfied. Now, we show that there exists B' such that $B'\{h(\alpha, w)/w\} =_{\mathbb{E}} B$ and $A' \rightarrow B'$ by induction on the proof tree showing that $A \rightarrow B$. This will allow us to conclude that $\nu w.A' \rightarrow \nu w.B'$.

Base cases.

- **IN.** We suppose that $A = \text{in}(x).P$, $B = P\{M/x\}$. We have that $A' = \text{in}(x).P'$ and $P'\{h(\alpha, w)/w\} =_{\mathbb{E}} P$. Let $B' = P'\{M'/x\}$. We indeed have that $B'\{h(\alpha, w)/w\} = (P'\{M'/x\})\{h(\alpha, w)/w\} =_{\mathbb{E}} P\{M/x\} =_{\mathbb{E}} B$, and $A' \rightarrow B'$.
- **OUT.** We suppose that $A = \text{out}(M).P$ and $B = P \mid \{M/x\}$. We have that $A' = \text{out}(M').P'$ where $P'\{h(\alpha, w)/w\} =_{\mathbb{E}} P$ and $M'\{h(\alpha, w)/w\} =_{\mathbb{E}} M$. Let $B' = P' \mid \{M'/x\}$. We have $B'\{h(\alpha, w)/w\} = (P' \mid \{M'/x\})\{h(\alpha, w)/w\} =_{\mathbb{E}} B$, and $A' \rightarrow B'$.
- **THEN.** We suppose that $A = \text{“if } M_1 = M_2 \text{ then } P \text{ else } Q\text{”}$ and $B = P$. By definition of $=_{\mathbb{E}}$ we have that $A' = \text{“if } M'_1 = M'_2 \text{ then } P' \text{ else } Q'\text{”}$ where $P'\{h(\alpha, w)/w\} =_{\mathbb{E}} P$, $Q'\{h(\alpha, w)/w\} =_{\mathbb{E}} Q$ and $M'_i\{h(\alpha, w)/w\} =_{\mathbb{E}} M_i$ ($i = 1, 2$). Let $B' = P'$. As $M_1 =_{\mathbb{E}} M_2$, by Lemma 14 we have that $M'_1 =_{\mathbb{E}} M'_2$. Hence, we indeed have that $B'\{h(\alpha, w)/w\} = P'\{h(\alpha, w)/w\} =_{\mathbb{E}} P = B$, and $A' \rightarrow B'$.
- **ELSE.** This case is similar to the previous one.

Inductive cases. The inductive case corresponding to an application of structural equivalence directly follows from Lemma 15. Hence, it remains to show the case of an application of an evaluation context. Suppose that the proof $A \rightarrow B$ finishes by an application of the following rule

$$\frac{A_1 \rightarrow B_1}{C[A_1] \rightarrow C[B_1]}$$

where $A = C[A_1]$ and $B = C[B_1]$. By hypothesis, we know that there exists A' such that $A'\{h(\alpha, w)/w\} =_{\mathbb{E}} A$. By definition of $=_{\mathbb{E}}$ we have that $A' = C'[A'_1]$ where $C'\{h(\alpha, w)/w\} =_{\mathbb{E}} C$ and $A'_1\{h(\alpha, w)/w\} =_{\mathbb{E}} A_1$ for some evaluation context C' and some process A'_1 . Hence we can apply our induction hypothesis to obtain that there exist B'_1 such that $B'_1\{h(\alpha, w)/w\} =_{\mathbb{E}} B_1$, and $A'_1 \rightarrow B'_1$. Let $B' = C'[B'_1]$. We have that $B'\{h(\alpha, w)/w\} = (C'[B'_1])\{h(\alpha, w)/w\} =_{\mathbb{E}} C[B_1] = B$, and $A' \rightarrow B'$. This last result is obtained by application of the evaluation context C' on $A'_1 \rightarrow B'_1$. \square