Integrating TPS and $\Omega$MEGA

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Abstract: This paper reports on the integration of the higher-order theorem proving environment TPS [Andrews et al., 1996] into the mathematical assistant $\Omega$MEGA [Benzmüller et al., 1997]. TPS can be called from $\Omega$MEGA either as a black box or as an interactive system. In black box mode, the user has control over the parameters which control proof search in TPS; in interactive mode, all features of the TPS-system are available to the user. If the subproblem which is passed to TPS contains concepts defined in $\Omega$MEGA’s database of mathematical theories, these definitions are not instantiated but are also passed to TPS. Using a special theory which contains proof tactics that model the ND-calculus variant of TPS within $\Omega$MEGA, any complete or partial proof generated in TPS can be translated one to one into an $\Omega$MEGA proof plan. Proof transformation is realised by proof plan expansion in $\Omega$MEGA’s 3-dimensional proof data structure, and remains transparent to the user.

1 Introduction

Current theorem proving systems, whether automatic or interactive, are usually strong in some domains while lacking reasoning power in others. Furthermore, there are no standardised formats for databases of higher-order problems, as there are for first-order problems [Sutcliffe et al., 1994], and so higher-order theorem provers are generally unable to share databases of problems. In recent years there have been several attempts to combine two or more systems and hence to allow various theorem provers with different proof strategies to cooperate on a problem [Giunchiglia et al., 1996], to allow users of an interactive system to invoke an external automatic system on a subproblem [Slind et al., 1998a; Slind et al., 1998b; Meier, 1997; Dahn et al., 1994] or to avoid duplication of work by sharing databases [Felty and Howe, 1997].

In this paper we describe the integration of the higher-order theorem proving system TPS into the mathematical assistant $\Omega$MEGA, and discuss the benefits that this provides for both systems. For a preliminary report on our work we refer to [Benzmüller and Sorge, 1998b].

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1.1 The TPS system

TPS [Andrews et al., 1996] is a higher-order theorem proving system for classical type theory (Church's simply-typed λ-calculus). Proofs in TPS may be constructed automatically using the matings method (connection method) [Andrews, 1981], or interactively using an extended variant of Gentzen's natural deduction calculus [Gentzen, 1935]. Automatic proofs may be translated into natural deduction format [Miller, 1984; Pfenning, 1987], and hence the user may interleave the automatic and interactive proof methods by, for example, invoking the automatic component on a subproblem of a partially-completed interactive proof. This translation between automatic and natural deduction proofs provides the basis for the integration of TPS and ΩMEGA.

There are several built-in automatic search procedures in TPS, each of which is governed by a set of parameters (known as flags) which may be adjusted by the user or even automatically by TPS itself. Furthermore TPS can expand definitions using the dual instantiation strategy described in [Bishop and Andrews, 1998]; this provides an effective way to decide which abbreviations to instantiate during a proof. TPS provides a library for storing objects such as theorems, definitions and modes (groups of flag settings), and can also store and retrieve files containing sequences of commands (work files) or natural deduction proofs (proof files). All of these facilities are also used in the integration of ΩMEGA and TPS.

A more complete description of the capabilities of TPS is provided in [Andrews et al., 1997], or online at http://www.cs.cmu.edu/~andrews/tps.html.

1.2 The ΩMEGA system

The ΩMEGA-system [Benzmüller et al., 1997] is designed as an interactive mathematical assistant system, aimed at supporting proof development in mainstream mathematics. It consists of a variety of tools including a proof planner [Huang et al., 1994], a graphical user interface LOUI [Siekmann et al., 1998], the PROVERB system [Huang and Fiedler, 1997] for translating proofs into natural language, and a variety of external systems such as computer algebra systems [Kerber et al., 1998], automated theorem provers [McCune, 1994; Baumgartner and Furbach, 1994; Weidenbach et al., 1996] and constraint solvers. ΩMEGA also provides the built-in higher-order theorem prover LEO [Benzmüller and Kohlhase, 1998], which specializes in reasoning about higher-order equality and extensionality.

ΩMEGA is, like TPS, a theorem proving system for classical type theory (Church's simply-typed λ-calculus) which uses a ND calculus variant as its basic inference mechanism. However the set of basic ND rules in TPS is larger than that in ΩMEGA, in order to keep TPS proofs concise and readable. Therefore certain rules in TPS abstract over small subproofs (such as RuleP, which abstracts over proofs in propositional logic, cf. Section 3). In ΩMEGA, however, the set of basic ND-rules is just large enough to ensure completeness, and all extensions to the basic ND-calculus (e.g. equality substitution) are defined as tactics. Nevertheless, proofs can be both constructed and displayed on several abstract levels by using a 3-dimensional data structure (see Section 2) for representing (partial) proofs. The structure on the one hand enables the user to freely switch back and forth between different abstract levels and on the other hand provides a means
for directly integrating results of external reasoners while leaving the expansion
to the calculus level to ΩMEGA’s tactic mechanism.

Further information and an online version of ΩMEGA are available over the
Internet at http://www.ags.uni-sb.de/~omega/.

1.3 Benefits of integrating TPS and ΩMEGA

Both TPS and ΩMEGA use a higher-order logic based on Church’s simply-typed
λ-calculus, and both use a Gentzen-style natural deduction calculus; this makes
the integration somewhat easier and more natural than it might otherwise have
been. However, the two systems are still different enough for each to benefit
considerably from the other.

ΩMEGA is designed to be a mathematical assistant, and so contains a small
basic set of natural deduction rules, plus many defined tactics. ΩMEGA provides
facilities such as a database of mathematical theories, a proof planner, proof
verbalisation, integration of computer algebra systems and first-order theorem
provers, and a graphical display in which the level of detail provided may be
varied by the user. Since many of the predefined theories contain higher-order
concepts, problems formulated in these theories will naturally lie beyond the
capabilities of the first-order theorem provers which have already been integrated
into ΩMEGA, and so the principal benefit of the integration for ΩMEGA is the
addition of a powerful higher-order automated theorem prover as an external
reasoning component.

TPS, on the other hand, is designed to be a system for proving theorems in
a specific logic (as well as a tool for research into automated theorem proving).
TPS must keep its proofs as concise as possible, since it has a command-line
interface rather than the graphical interface of ΩMEGA, and so it contains a
larger range of natural deduction rules than ΩMEGA. TPS has comparatively
few predefined theories, since all but the smallest such theories contain far too
many axioms for any of its automatic search procedures to cope with. Furthermore,
TPS cannot invoke any external reasoning components. For TPS, then,
the principal benefits of integration with ΩMEGA are the addition of a graphical
interface, proof verbalisation, and the ability to use external reasoning systems
(although the present integration does not allow TPS to call such systems itself,
it can in effect call them through ΩMEGA, since ΩMEGA can call both TPS and
the other systems, and any proof known to ΩMEGA can be passed to TPS).

2 Natural Deduction Proofs in ΩMEGA

The essential prerequisite for a smooth integration of TPS proofs into ΩMEGA
proofs is ΩMEGA’s ability to expand abstract inference steps into inferences in
its own calculus. This enables the definition of abstract inference methods that
can incorporate both decision procedures and partial proofs from other systems.
In this section we will elaborate further on this issue by giving an overview of
the core of the ΩMEGA system.

The entire process of theorem proving in ΩMEGA can be viewed as an in-
terleaving process of proof planning, plan execution, and verification, centred
around the so-called Proof Plan Data Structure (PDS). A PDS is a hierarchical
data structure which represents a (partial) proof at different levels of abstraction
(called proof plans). It is represented as a directed acyclic graph, where the nodes are justified by tactics or methods. Conceptually, each justification represents a proof plan (the expansion of the justification) at a lower level of abstraction that is computed when the justification is expanded. A proof plan can be recursively expanded until a fully explicit proof on the calculus level (ND) has been reached. In OMEGA, the original proof plan is kept in a 3-dimensional expansion hierarchy (cf. Figure 1). Thus the PDS makes explicit the hierarchical structure of proof plans and retains it for further applications such as proof explanation or analogical transfer of plans.

Once a proof plan is completed, its justifications can successively be expanded to verify the well-formedness of the resulting PDS. When the expansion process is completed, the establishment of correctness of the ND proof relies solely on the correctness of the verifier and the calculus. This approach also provides a basis for a controlled integration of external reasoning components – such as an automated theorem prover or a computer algebra system – if each reasoner’s results can (on demand) be transformed into a sub-PDS.

A PDS can be constructed by automated or mixed-initiative planning, or by pure user interaction. In particular, new pieces of the PDS can be added by directly calling tactics, by inserting facts from a data base, or by calling some external reasoner.

In order to demonstrate the basic expansion mechanism we consider the ND-rule \( \forall_E \) and the simple tactic \( \forall_E^* \):

\[
\forall x. A \quad \forall E(t) \quad \forall x_1, \ldots, x_n. A \quad \forall E^*(t_1, \ldots, t_n)
\]

The application of the latter would be on an abstract level in the PDS and its expansion to ND-level would result in a sequence of applications of the \( \forall_E \)-rule. Besides providing a means for handling the application and expansion of these rather small abstractions, the PDS is also the foundation for integrating deductions from external reasoning components into OMEGA on a very abstract...
level. We exploit this possibility for the integration of TPS by specifying three different abstraction levels for TPS’s deductions:

1. A single justification expressing that a proof for a particular subproblem has been found by TPS.
2. A second expansion level incorporates the original TPS proof into OMEGA’s PDS. On this level the justifications for the respective proof lines contain the justifications of the original TPS proof.
3. A third level where the TPS justifications are mapped to corresponding OMEGA tactics. However, this level does not correspond to a proof on the calculus level, as some of the tactics might need to be expanded even further.

3 The Integration

The general integration approach, as illustrated in Figure 2, is divided into five steps A–E. Currently the integration is still one-directional; TPS can be used from within OMEGA, but OMEGA cannot be used from within TPS. We start with a partial proof plan, on an arbitrary abstraction level in OMEGA, that contains an open subproblem we want to prove with TPS. In step A the focused subproblem is extracted and, together with the relevant concepts from OMEGA’s knowledge base, translated into TPS syntax. In step B TPS reads the translated problem and either tries to find a proof automatically (when called in automatic mode from OMEGA) or pops up its command interface for interactive proof development (when called in interactive mode from OMEGA; see the screen-shot in Example 3.
in the appendix). The result is a complete or partial proof that is mirrored one-to-one as an ΩMEGA proof plan in step C. In step D, this proof plan is inserted into ΩMEGA’s proof data structure (PDS) in order to fill the given gap. Finally, a TPS proof which has been modelled on ΩMEGA’s proof tactic level is transformed into a proper proof in ΩMEGA’s basic ND-calculus by proof plan expansion in step E. This transformation may require support from the other external reasoners already integrated into ΩMEGA. Since all of the particular expansion steps in the proof transformation are stored in ΩMEGA’s 3-dimensional PDS, ΩMEGA’s expansion/contraction mechanism for proof tactics allows the user to move freely between the TPS proof on an abstract level, the proof on ΩMEGA’s basic ND-calculus level, and all of the intermediate levels of abstraction. This ensures that proof transformation is transparent to the user, and remains so even as the user examines the proof on different levels of abstraction.

In the following we will discuss the particular integration steps in more detail, using the following example as an illustration:

**Example 1. (THM136)** ∀r oxα. transitive (transitive-closure r)

This example states that the transitive closure of a relation is transitive.2

This problem is defined within ΩMEGA’s theory RELATION, which also provides the recursively entailed defined concepts which are transitive-closure, transitive and sub-relation. These are defined as follows:

- **transitive-closure := λr oxα. λx α. λy α. ∀q oxα.**
- (sub-relation r q ∧ transitive q) ⇒ q x y
- **transitive := λr oxα. ∀x α, y α, z α. (r x y ∧ r y z) ⇒ r x z**
- **sub-relation := λr oxα. λq oxα. ∀x α, y α. r x y ⇒ q x y**

### 3.1 A: Calling TPS from ΩMEGA

When calling TPS within ΩMEGA the user specifies the subgoal to be proved, some parameters which specify the proof heuristic to be used by TPS, and a time limit for this proof attempt. Furthermore the user may specify definitions that are entailed in the problem but which are not to be passed to TPS, in order to force TPS to treat them as uninterpreted constants.

Firstly, the focused subproblem is extracted from ΩMEGA’s PDS, by identifying the open subgoal explicitly mentioned as a parameter and determining its support nodes. Then ΩMEGA computes the set of all defined concepts that are recursively entailed in the extracted subproblem, and eliminates from this set all those concepts which the user has explicitly prohibited from being passed to TPS. Thus for THM136 we get exactly the three definitions shown above, assuming that the user has permitted all definitions to be passed to TPS. In the next step both this subproblem and the selected definitions are translated into TPS syntax. As both systems implement a logic based upon Church’s simply-typed λ-calculus, and even their representations of types are very similar, this translation process is rather trivial, and we shall not discuss it in much detail. However, there are some minor considerations to be taken care of:

2 Information on the syntax: In TPS the type (α → β) → γ is denoted (γ(βα)). In particular, the type oxα (i.e. ((oxα)α)) is the type α → α → o of a binary relation on objects of type α.
1. TPS uses a small set of constant symbols with a fixed semantics (e.g. the logical connectives), and these symbols must not be redefined.
2. The polymorphic types which are allowed in $\Omega$MEGA must usually be renamed in order for TPS to interpret them correctly.
3. It is important to maintain a mapping between the initial TPS proof lines in the translated subproblem and their counterparts in $\Omega$MEGA's PDS.

Problems 1 and 2 are solved by setting up hash-tables within $\Omega$MEGA which store the necessary information about renamings of constant symbols (in 1) and the correspondence between the polymorphic type-symbols (in 2). As the line numbering in TPS steadily changes, we can not use another hash-table for solving 3. Fortunately, TPS allows the user to attach arbitrary additional information to each proof line; we use this feature to mark the TPS proof lines in the translated subproblem with the names of their counterparts on the $\Omega$MEGA side.

Apart from the above-mentioned hash-tables, the most important results of phase A are two files containing all the necessary information for TPS. The first file — which we call the problem-file — contains the information on the subproblem in focus and the recursively embedded defined concepts. The second file — the command-file — contains a sequence of commands to be executed by TPS. These commands tell TPS to read the problem-file, to set the proof tactic as specified by the user, and, in the case that TPS is called in automatic mode (see phase B), to invoke TPS's mating-search procedure. The problem-file created by $\Omega$MEGA for our example THM136 is presented in the appendix of this paper (see Example 2).

3.2 B: Automatic or Interactive Proof Search in TPS

TPS can be called from $\Omega$MEGA in either automatic or interactive mode. In the former case the TPS core image is started as a black box and the only information visible to the user is the time resource allocated to TPS's proof attempt. TPS executes only the commands which are specified in the command-file created by $\Omega$MEGA.

When TPS is called in interactive mode, an xt rental with TPS's command user interface pops up (see Example 3 in the appendix) and the interactive session is initialised by the commands stored in the command-file. The user can then interactively use all the available features of TPS in order to construct a complete or partial ND-style proof.

TPS's built-in proof transformation procedure [Miller, 1984; Pfenning, 1987] translates mating proofs into ND-calculus such that, in both interactive and automatic modes, the final result of the proof attempt is either a complete or partial proof in TPS's ND-calculus variant. This (partial) proof is then stored in a tps-output file\(^3\) and passed back to $\Omega$MEGA.

A very important feature of our approach is that TPS can use its mechanism for dual instantiation [Bishop and Andrews, 1988] within its mating-search procedure. This is possible because we do not expand all defined concepts before

\(^3\) Actually there are two files produced by TPS, one containing the (partial) proof in ASCII format and one containing the same proof in a Lisp-like presentation. The former is only used to present the original TPS proof within $\Omega$MEGA and the latter, which is the more important of the two, is used in phase C to translate the TPS proof to $\Omega$MEGA.
passing the subproblem to TPS, but instead pass these concepts as additional information and leave the subproblem as it is. Thus TPS can decide on its own whether it is necessary to expand particular defined concepts or not. Example 1, above, is a good example of a theorem which cannot be proven by TPS if all the definitions are expanded before the mating-search procedure is called\footnote{This theorem is still a challenging problem for current ATP's. Apart from a proof constructed by \OmegaEG-\textsc{a}'s proof planner using very special control information [Selim, 1995], TPS is the only system known to the authors that can automatically find a proof.}. For a detailed discussion see [Bishop and Andrews, 1998]. The proof generated by TPS for THM136 is presented in Example 3 in the appendix.

\subsection{C & D: Representing TPS Proofs as \OmegaEG-Proof Plans and Insertion of Proof Plans}

One main idea of our approach is to provide as transparent a translation mechanism as possible, by modelling TPS's ND-calculus variant on \OmegaEG's proof tactic level. We implement this modelling by defining a special theory TPS in \OmegaEG's knowledge base. For each possible TPS ND justification, the theory TPS introduces a corresponding \OmegaEG-tactic; the expansion contents of some of these tactics are presented in Example 5 in the appendix. There is one additional black box tactic \textit{tps}, which will be used to provide the most abstract view of subproblems proven by TPS. The concrete proof translation proceeds as follows:

1. A proof generated by TPS is mirrored one to one as a proof plan in \OmegaEG by mapping the particular proof justifications in the TPS proof to the corresponding proof tactics provided by the special theory TPS in \OmegaEG's knowledge base. In order to guarantee a correct mapping of the entailed constants and type symbols, the translation process uses the hash-tables constructed by \OmegaEG in phase A. Furthermore, the correspondence between the proof lines of the focused \OmegaEG-subproblem and the corresponding TPS proof lines is given as explicit information in the TPS proof. The proof plan we obtain for THM136 is presented as Example 4 in the appendix.

2. The resulting proof plan is then stored in \OmegaEG with a reference to the subproblem on which TPS has been called. Some additional information is also stored, such as the original TPS proof in ASCII format, the proof parameters and some proof statistics.

In phase D the open line itself is first closed and justified by using the special black box tactic \textit{tps}, thereby providing the most abstract view of the proof for our subproblem in focus. By expanding this special tactic the corresponding proof plan is inserted in \OmegaEG's \textit{PDS}, and the structure of the original TPS proof can be visualised in \OmegaEG's graphical user interface L\textsc{ou}\textsc{i} [Siekmann \emph{et al.}, 1998]. Example 6 in the appendix presents the proof structure of the original TPS proof for THM136 (see Examples 3 and 4), graphically visualised in L\textsc{ou}\textsc{i}.

\subsection{E: Transparent Proof Transformation by Proof Plan Expansion}

It remains to transform the abstract proof plan representing the TPS proof into \OmegaEG's own basic ND-calculus variant. Such a proof transformation is
necessary, as OMEGA's philosophy on integrated systems is not to trust any externally-produced proof until it can be transformed and proof checked on OMEGA’s basic ND-calculus level. The transformation problem for TPS proofs has a very simple solution since the ND-calculus variants of both systems are very similar, and the other external reasoners already integrated to OMEGA (e.g. OTTER [McCune, 1994]) can fruitfully support the transformation in non-trivial cases.

Proof transformation is realised via tactic expansion. Each proof tactic defined in OMEGA’s special TPS theory contains specific expansion information that maps any concrete application of this particular tactic onto a proof on a lower, more detailed proof level in OMEGA’s PDS. Thus, by stepwise tactic expansion, the original TPS proof mirrored in OMEGA can finally be transformed into OMEGA’s basic ND-calculus level. A nice side effect of this approach is that the original TPS proof, the corresponding OMEGA ND-proof and all intermediate levels of the proof transformation process are permanently stored in OMEGA’s PDS. Consequently the flexible tactic expansion/contraction mechanism in OMEGA allows users to analyse the proof on whatever level interests them. Example 6 in the appendix presents two different layers in OMEGA’s PDS.

We distinguish four categories of expansion tactics defined in the TPS theory, as follows:

I Simple mapping: Many rules of the ND-calculus variant of TPS have direct counterparts in OMEGA. Examples are presented in Figure 3. Here tactic tps*ForallE is mapped to OMEGA’s basic ND-calculus rule ∀E and the tactic tps*Conj is mapped to the tactic ∧E, which itself expands into the basic ND-calculus rules ∧E₁ and ∧E₂. The expansion content of the tactic tps*ForallE is presented in Example 5 in the appendix.

II Case Distinction: Some tactics of the TPS theory need case distinctions in their expansion mapping. For example, the tactic tps*Neg justifies applications of the push negation as well as the pull negation principle; see Figure 3. OMEGA provides the corresponding tactics Pushneg and Pullneg, and thus the expansion of tps*Neg simply analyses the situation and maps to either Pushneg or Pullneg, as appropriate. Both Pushneg and Pullneg are tactics that expand with case distinction mappings to a lower level in OMEGA’s PDS. By subsequent tactic expansion we finally get a medium-sized derivation in OMEGA’s basic ND-calculus. The definition of the tactic tps*Neg is presented in Example 5 in the appendix.

III Restructuring: Existential quantification elimination in TPS (the particular rule in TPS is called RuleC) structures a proof slightly differently from the corresponding rule ∃E in OMEGA; see Figure 3. Consequently the expansion of the tactic tps*RuleC into rule ∃E requires some simple restructuring of the proof with respect to the dependencies between some proof lines.

IV External Reasoners: TPS abbreviates pure propositional logic derivations in a complex ND proof with a single-step justification, called RuleP, and hides the boring details from the user. Thus both RuleP and the OMEGA-tactic tps*RuleP mean that a particular proof line follows from some premise lines by propositional logic. We need a way to expand this rather general justification, with so little detailed information available, into a concrete derivation in OMEGA’s basic ND-calculus. An extravagant solution would be to imple-
<table>
<thead>
<tr>
<th>Cat.</th>
<th>TPS tactic in ( \Omega qEQA )</th>
<th>Expansion Mapping</th>
<th>( \Omega qEQA )'s ND-calculus</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>( \forall x. A \mid [x \leftarrow a]A ) tps*Forall(a)</td>
<td>( \forall x. A \mid [x \leftarrow a]A ) ( \forall E(a) )</td>
<td>( \forall x. A \mid [x \leftarrow a]A ) ( \forall E(a) )</td>
</tr>
<tr>
<td></td>
<td>( A \land B ) tps*Conj</td>
<td>( A \land B ) ( \land E )</td>
<td>( A \land B ) ( \land E )</td>
</tr>
<tr>
<td>II</td>
<td>( \neg A \mid A' ) tps*Neg</td>
<td>( \neg A ) Pushneg</td>
<td>( \neg A ) derivation D1</td>
</tr>
<tr>
<td></td>
<td>( A' \mid \neg A ) Pullneg</td>
<td></td>
<td>( A' ) derivation D2</td>
</tr>
<tr>
<td></td>
<td>( \exists x. A \mid [[x \leftarrow a]A] ) tps*Choose(a)</td>
<td>( [[x \leftarrow a]A] )</td>
<td>( [[x \leftarrow a]A] )</td>
</tr>
<tr>
<td></td>
<td>( B \mid B ) tps*RuleC (^1)</td>
<td>( \exists x. A \mid B \not\exists E^1 )</td>
<td>( \exists x. A \mid B \not\exists E^1 )</td>
</tr>
<tr>
<td>III</td>
<td>( A \mid A' ) tps*RuleP</td>
<td>( A \mid ) call-PL-ATP</td>
<td>( A ) derivation D3</td>
</tr>
</tbody>
</table>

Figure 3: Transparent transformation of TPS proofs into \( \Omega qEQA \) proofs, as realised by \( \Omega qEQA \)'s tactic expansion mechanism.
ment a propositional logic prover in OMEGA and to employ this prover in the 
expansion of tps*RuleP. Fortunately there are already several systems inte-
grated to OMEGA, such as the first-order provers OTTER [McCune, 1994], 
SPASS [Weidenbach et al., 1996] or PROTEIN [Baumgartner and Furbach, 
1994], which can be used instead. In fact, TPS itself also provides a special 
propositional logic mode that can be used to construct detailed proposi-
tional logic proofs. Hence no additional implementation effort with respect 
to the expansion of tps*RuleP is necessary; we simply map tps*RuleP to a 
recursive call of an arbitrary system, already integrated to OMEGA, that is 
able to construct propositional logic derivations (see Figure 3). In the first 
implementation we used OTTER in connection with a special mapping from 
higher-order to propositional logic. We can also map tps*RuleP back to a call 
of TPS in propositional logic mode. Then, by expanding tps*RuleP, OMEGA’s 
tactic mechanism automatically performs a recursive call to TPS. The de-
definition of the tactic tps*RuleP is presented in Example 5 in the appendix.

4 Examples

Our integration approach does not restrict the set of examples that can be proved 
by TPS. If one introduces the necessary definitions in OMEGA’s knowledge base 
than generally all the theorems provable by TPS alone should be provable by 
calling TPS from OMEGA as well. Among the TPS examples that have already 
been proven by calling TPS from OMEGA (where they can be fully expanded and 
proof checked) are\footnote{These examples from the TPS library are also discussed in [Andrews et al., 1996]. The 
definitions occurring in the above examples are defined in OMEGA’s knowledgebase 
(analogously to TPS’s library) as follows:}

\begin{align*}
\text{<card} & := \lambda g a . \lambda h \beta . \neg \exists f_{\beta a} . (\text{surjective } g \ h \ f) \\
\text{surjective} & := \lambda f a . \lambda g \beta . \lambda h \alpha . \forall x \beta . (g x) \Rightarrow (\exists y \alpha . (f y) \land (x = (h y))) \\
\mathcal{P} & := \text{superset}, \text{superset} := \lambda f a . \lambda g a . \forall p \alpha . (p (\lambda u . u) \land (\forall j a . (p j) \Rightarrow (p (f \circ j)))) \Rightarrow (p g) \\
\text{iteratep} & := \lambda f a . \lambda g a . \forall p \alpha . (p (\lambda u . u) \land (\forall j a . (p j) \Rightarrow (p (f \circ j)))) \Rightarrow (p g) \\
\text{iteratep} & := \lambda f a . \lambda g a . \forall p \alpha . (p (\lambda u . u) \land (\forall j a . (p j) \Rightarrow (p (f \circ j)))) \Rightarrow (p g) \\
\text{injectivep} & := \lambda f a . \lambda g a . (f x) = (f y) \Rightarrow (x = y) \\
\circ & := \lambda f a . \lambda g a . \lambda x \beta . g (f x)
\end{align*}
THM270: \( \forall f_{\beta\alpha}, \forall g_{\gamma\alpha}, \forall h_{\gamma\beta} \cdot (\forall x_{\alpha}, h (f x) = g x) \land (\forall y_{\beta}, \exists x_{\alpha}, f x = y) \land (\forall x_{\alpha}, \forall y_{\gamma}, g (x + y) = (g x) + (g y)) \land (\forall x_{\beta}, h_{\gamma\beta}, h (x + y) = (h x) + (h y)) \)

If \( f \) is a surjective homomorphism, \( g \) is a homomorphism, and \( h \) is any function such that for all \( x, h (f x) = g x \), then \( h \) is a homomorphism.

In the following we present two examples, which are not automatically provable in either TPS or \( \Omega MEGA \) alone, and which motivate a cooperation between the two systems.\(^6\)

THM262: \( \forall p_{(\alpha\beta)}, \text{partition } p \rightarrow \exists q_{(\beta\gamma)}, \text{equivalence-rel } q \land (\text{equivalence-classes } q) = p \)

This states that if \( p \) is a partition, then there is an equivalence relation \( q \) whose equivalence classes are exactly the elements of \( p \). We now demonstrate how a partly interactive and partly automatic proof can be constructed, and show how the integration of TPS and \( \Omega MEGA \) can help with this task.

Suppose that the user begins by providing the appropriate instantiation for \( q \) (namely \( \lambda x, \lambda y, \exists s_{\alpha\beta}, p s \land s x \land s y \)). This reduces the problem to two subgoals: proving that this lambda-term defines an equivalence relation, and proving that the equivalence classes of this relation are exactly \( p \). In both cases, we have the hypothesis that \( p \) is a partition. The former subgoal can be proven automatically by TPS in about 35 seconds. The latter subgoal is harder for TPS; however, by using the interactive tactics for extensionality and universal generalisation, the user can reduce it to \( (\text{equivalence-classes } (\lambda x, \lambda y, \exists s_{\alpha\beta}, p s \land s x \land s y) h_{\alpha\beta}) \equiv p b \).

This equivalence can in turn be reduced interactively to a pair of implications, of which one (the right-to-left direction) can be proven automatically by TPS in about 30 seconds. This leaves the left-to-right direction of the equivalence as the only remaining subgoal to be proven. The automatic procedures of TPS cannot produce a proof of this subgoal, due to the complexity of the equality reasoning which is required, and so a user constructing this proof from within TPS would have to complete the proof interactively. The proof of this subgoal is non-trivial, and requires a significant amount of work on the part of the user.

However, with the integrated system, the user can begin proving THM262 in \( \Omega MEGA \), exactly as above, calling TPS to complete two of the three subgoals (none of the other systems integrated to \( \Omega MEGA \) is known to be able to complete either subproof). For the remaining subgoal, instead of laboriously constructing an interactive proof, the user now has the additional option of invoking one of the other automated provers which are integrated to \( \Omega MEGA \) or to call \( \Omega MEGA \)'s proof planner. It is very likely that an improved version of \( \Omega MEGA \)'s own higher-order theorem prover LEO, which specialises in reasoning about equality and extensionality, will be able to find an automatic proof of this subgoal.\(^7\)

\(^6\) The definitions used in these examples are as follows:

\( \text{partition} := \lambda s_{\alpha\beta}, (p_{\alpha\beta}, s p \Rightarrow (3 s z p z) \land (\forall x_{\beta}, \exists p s p \land (\forall y_{\alpha\beta}, s q q x \Rightarrow q \equiv p)) \)

\( \text{equivalence-rel} := \lambda r_{\alpha\beta}, \text{reflexive } r \land \text{symmetric } r \land \text{transitive } r \)

\( \text{equivalence-classes} := \lambda r_{\alpha\beta}, (\forall x_{\beta}, \exists s_{\alpha\beta}, (\exists x x z) \land (\forall x_{\beta}, s x \Rightarrow (\forall y_{\alpha\beta}, s y \equiv r x y)) \)

\( \text{reflexive} := \lambda r_{\alpha\beta}, (\forall x_{\beta}, r x x) \land \text{symmetric} := \lambda r_{\alpha\beta}, (\forall y_{\beta}, r y y) \land \text{transitive} := \lambda r_{\alpha\beta}, (\forall z_{\beta}, r x y \land r y z \Rightarrow r x z) \land 0 := \lambda x_{\beta}, x \)

\(^7\) In principle LEO provides exactly the required extensionality treatment to solve this subgoal, but due to its prototypical implementation LEO can still handle only small search spaces; the search space defined by this problem is rather large because many free predicate variables are involved. A technically improved and heuristically better-
The following statement (which we admit is rather contrived) serves to illustrate some of the strengths and weaknesses of TPS and LEO, as it is only provable when both systems cooperate.\(^8\)

\[(\exists \circ_{\circ_{\circ}}. \top \circ \exists \circ_{\circ_{\circ}} \top \wedge \neg (\bot \circ \bot) \wedge \neg (\top \circ \bot) \wedge \neg (\top \circ \bot)) \wedge (\forall m, \top \in m \equiv (\top \Rightarrow \top) \in m)\]

The first conjunct claims the existence of the logical connective \(\wedge\) which is specified by its truth table. In order to prove this statement primitive substitution\(^9\) has to be employed, which is strongly supported in TPS but widely avoided in LEO. For the proof of the second statement, on the other hand, the unification of \(\top \in m\) and \((\top \Rightarrow \top) \in m\) requires a recursive call to the higher-order theorem prover from within higher-order unification. This most general form of extensionality treatment is supported in LEO but not in TPS. Hence this conjunction is provable in the combined system with three straightforward interactions.

Both examples illustrate that the integrated system of TPS and \(\Omega\)MEGA allows the user to complete some proofs in much fewer interactions than would be required by either system alone. In fact, the few interactions which are required are already supported by the suggestion mechanism in \(\Omega\)MEGA [Benzmüller and Sorge, 1998a]. While this in itself is already a major benefit to the user, it also suggests that it should be possible to use the built-in proof planner of \(\Omega\)MEGA to oversee the cooperation of the various external systems, and to produce proofs such as the one above without the necessity of user interaction.

5 Conclusion

Our objective was to integrate the two knowledge-based higher-order theorem proving environments TPS and \(\Omega\)MEGA in a way that would be as transparent to the user as possible. We believe that the approach to integration described above, although designed specifically for these two systems, provides some generally interesting and elegant ideas.

Our work (see also [Benzmüller and Sorge, 1998b]) is closely related to, and was developed simultaneously with, the approach for integrating the proof planner CL\(\Lambda\)M and the interactive theorem prover HOL [Slind et al., 1998a; Slind et al., 1998b]. Although we must admit that our work was simplified by the fact that \(\Omega\)MEGA and TPS are much more similar than are HOL and CL\(\Lambda\)M, we believe that our approach provides some additional features, e.g. the communication of definitions between the two systems, and a more transparent proof transformation process.

In conclusion, we now summarise some of the more interesting general properties of our integration method.

- The integration of \(\Omega\)MEGA and TPS also includes the communication of system-specific knowledge defined in the systems’ knowledge bases. TPS and

\(^8\) Although this example looks rather trivial at first glance, to the knowledge of the authors it is currently not automatically provable by any system.

\(^9\) The primitive substitution principle guesses instantiations for free predicate variables. In this case the prover has to guess the instantiation \(\wedge\) for \(\circ\) and then to verify the conditions specified by the truth table.
OMEGA, which are both based on classical higher-order logic, do not need
to agree on common definitions, rules or other logical concepts (apart from
the logical connectives which are in any case identical in both systems), as
is necessary for the integration of, for example, CLAM and HOL [Slind et
al., 1998a; Slind et al., 1998b]. Instead, OMEGA need only communicate to
TPS all of the potentially important definitions and concepts belonging to
the the specific subproblem to be solved. Most importantly, OMEGA does not
expand any definition in the focused subproblem, but leaves the decision as
to whether this is useful or necessary to TPS, which can use its mechanism
for selectively instantiating definitions [Bishop and Andrews, 1998]. The user
may even actively prevent some defined concepts from being passed to TPS.

- TPS is not only integrated as a fully automated black box system, but can
also be called as an interactive theorem prover. Thus OMEGA, with its hier-
archically structured knowledge base, can be seen in the integrated system as
a second user interface to the TPS system, with its own knowledge base. As
an automated black box system, TPS can be called from OMEGA either alone
or concurrently with other integrated theorem provers such as the first-order
systems OTTER, SPASS and PROTEIN.

- The OMEGA system models the particular ND-calculus variant used by TPS
by providing corresponding tactics in a special theory TPS which introduces
one OMEGA tactic for each TPS justification. Hence any TPS proof can be
translated one to one into a corresponding OMEGA proof plan using the
tactics from theory TPS. As the structure of the resulting proof plans can be
visualised graphically in OMEGA's graphical user interface LUI [Siekmann
et al., 1998] TPS thereby gains a visualisation tool and graphical interface
for free.

- Proof transformation of TPS proofs (mirrored as proof plans in OMEGA)
into proofs in OMEGA's basic ND-calculus is realised by tactic expansion. As
OMEGA's 3-dimensional proof data structure (PDS) permanently stores all
different abstraction levels of a proof (the OMEGA basic ND-level proof at
the bottom layer, the mirrored TPS proof at an abstract level, and all in-
termediate abstraction levels between those), proof transformation becomes
and remains transparent to the user, who can freely move between different
levels of abstraction in the proof.

- Non-trivial tactic expansions (such as the one for RuleP) are supported by
other external reasoners that are already integrated to OMEGA, or even by
TPS itself. This saves us from having to define and implement complicated
tactic expansions from scratch. Indeed, this can serve as a general approach
for a tactic-based proof transformation within a system like OMEGA that
already provides other integrated systems: as soon as a particular expansion
step seems overly complicated, one can recursively call other integrated
systems that are suited to support this particular expansion step.

- The reuse of mirrored TPS proof plans within an analogy-based theorem
proving approach [Melis and Carbonell, 1998] is supported by our integra-
tion, as these proof plans are explicitly stored and thus available in OMEGA's
PDS. They can also be stored in OMEGA's knowledge base.

We are currently investigating whether TPS, OMEGA's own higher-order the-
orem prover LEO (which is specialised in reasoning about extensionality) and the
various first-order theorem provers which have been integrated with OMEGA can
fruitfully cooperate. We hope to use ΩMEGA’s PDS as the central data structure for the necessary information exchange between the cooperating systems, and ΩMEGA’s planning mechanism to guide the cooperation between them.

References


In the appendix we illustrate the integration architecture by presenting some concrete information on the interaction between $\Omega$MEGA and TPS when proving THM136 (see Example 1).

A: Translating from $\Omega$MEGA to TPS

Example 2 Problem-file. This is the content of the problem-file for THM136 generated by $\Omega$MEGA and passed to TPS. The line with keyword ASSERTION defines the theorem to be proved and the line with keyword LINES introduces the initial partial proof to be completed by TPS, which here consists only of one line. A reference to the corresponding open proof line in $\Omega$MEGA (the entry "($\Omega$MEGA-LABEL THM136)") and some further information belonging to $\Omega$MEGA can be found at the end of this proof line. Note that the defined concepts transitive, transitive-closure and sub-relation are not expanded in this initial partial proof; they are passed to TPS as defined abbreviations (in the three lines with keyword DEF-ABBREV).
B: Proof Construction in TPS

Example 3 TPs Proof. Figure 4 presents a screenshot of the TPs interface displaying the TPs proof for THM136. This proof is discussed in detail in [Bishop and Andrews, 1998].

C & D: Translating from TPs to ΩMEGA and Inserting the Proof Plan

Example 4 ΩMEGA Proof Plan. ΩMEGA's special theory TPS provides one proof tactic for each TPs justification. Thus the proof presented in Example 3 can be translated one to one into a proof plan using the proof tactics of this theory. Tactics defined in this special theory have the prefix "TPS". The structure of this proof plan can be graphically visualised in ΩMEGA's graphical user interface LÖUI, as presented in Example 6.
Figure 4: Tps-Xterm with the proof of THM136
E: Transparent Proof Transformation by Proof Plan Expansion

Example 5 Modelling TPS’s calculus in ΩMGA’s theory TPS. The tactics in ΩMGA’s special theory TPS contain expansion information that allows proof plans constructed in this theory to be mapped to ΩMGA proofs on a lower abstraction level. We present some sample expansions here. The simplest is tps*Conj, which is simply mapped to the ΩMGA tactic and then maps either to Pushneg or Pullneg. tps*RuleP recursively invokes an external propositional logic prover integrated to ΩMGA.

Example 6 ΩMGA-proof. Finally, we present in figure 5 the visualization of the original TPS proof (as a proof plan) in ΩMGA’s graphical user interface LUI. By expanding all nodes exactly one step, we reach another layer in ΩMGA’s 3-dimensional PPS which is visualized in the second screenshot. Here the squares represent the recursive calls to a propositional theorem prover which are obtained by the expansion of tactic tps*RuleP.
Figure 5: Transparent proof transformation within OMEGA’s 3-dimensional PDS.